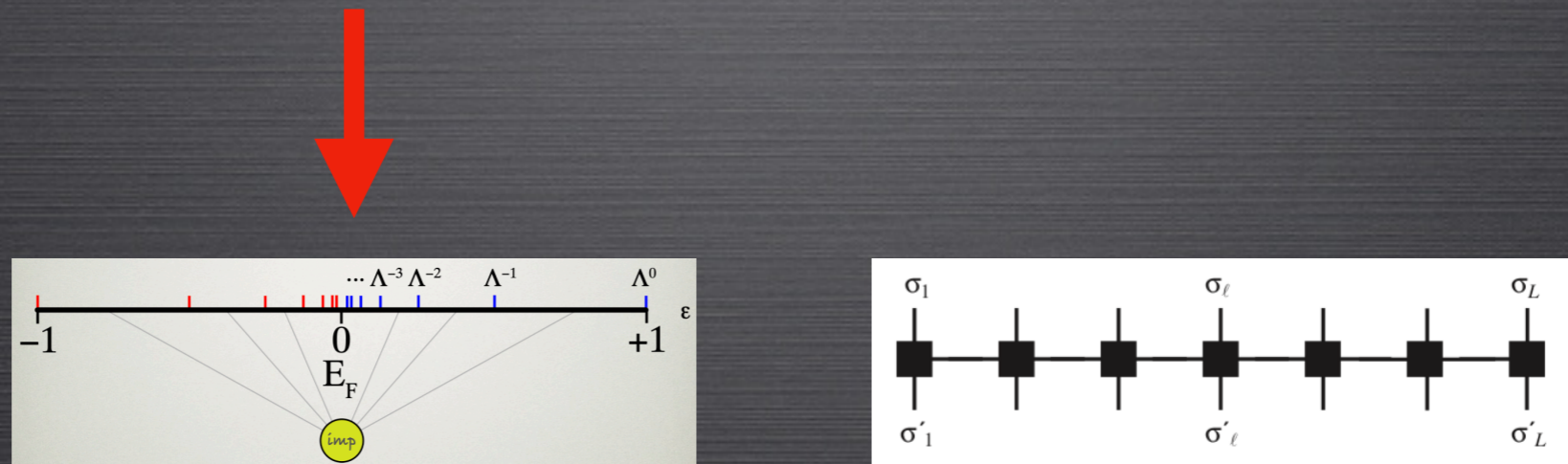


# SOLVERS FOR QUANTUM IMPURITY PROBLEMS (WITH SUPERCONDUCTING BATHS)

## LECTURE 2: NUMERICAL RENORMALIZATION GROUP



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UNIVERSITY OF COPENHAGEN, OCT 2021

# **PART 1: GENERAL CONSIDERATIONS**

# EFFECTIVE HAMILTONIAN

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= description valid on a certain energy/length scale

Parameters can be extracted from the experiment.

What terms to retain:

- symmetry considerations
- energy considerations
- relevance of operators (renormalization group)

# EXAMPLE: FROM HUBBARD MODEL TO HEISENBERG MODEL

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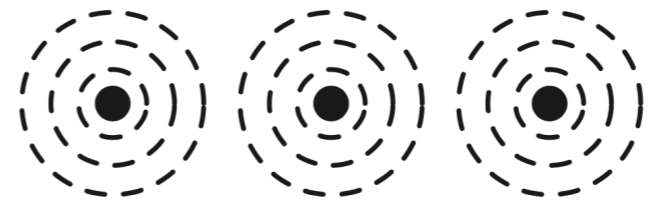
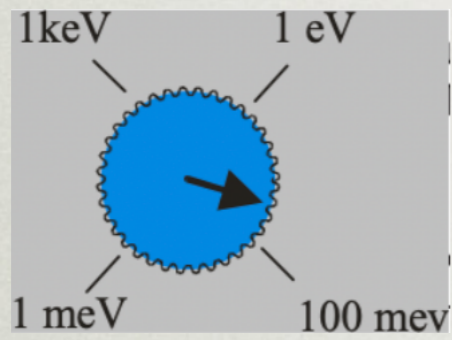
$$H = -t \sum_{ij, \sigma} \left( c_{i\sigma}^\dagger c_{j\sigma} + \text{h.c.} \right) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

large  $U$ ,  $\langle n \rangle = 1$

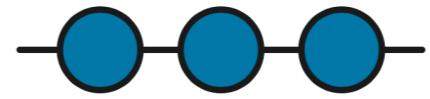
i.e., for **Mott insulators**

$$H = J \sum_{ij} \mathbf{S}_i \cdot \mathbf{S}_j \quad J = \frac{4t^2}{U}$$

$$[S^x, S^y] = iS^z \quad \mathbf{S}^2 = S(S + 1)$$



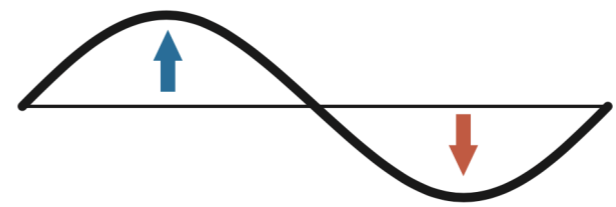
a) Microscopic Hamiltonian



b) Hubbard model  
(tight-binding lattice)



c) Heisenberg model  
(spin lattice)



d) Effective model describing  
spin density waves

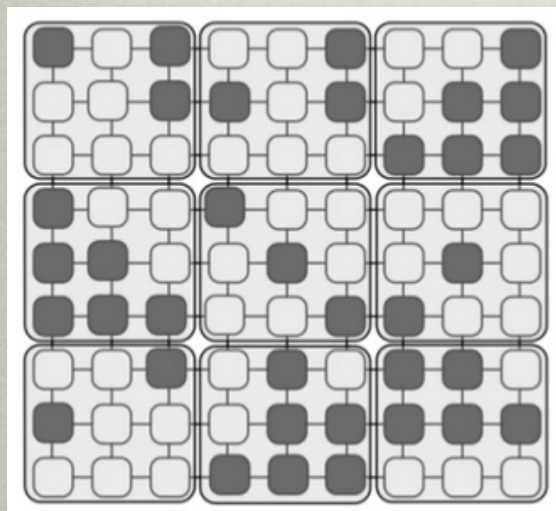
# RENORMALIZATION GROUP

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1) procedure for finding relations between the effective descriptions of the same physical system on different energy scales

Approaches:

- by integrating out high-energy degrees of freedom by coarse graining
- unitary transformations and truncation



coarse graining

$$H_{N+1} = T[H_N]$$

RG transformation

2) framework for discussing relations between physical theories

Energy cascading

Scaling

Amplification and deamplification

Relevant operators

Irrelevant operators

Vicinity of a fixed point

Universal behaviour  
of different systems

# FIXED POINTS, (IR)RELEVANT OPERATORS

---

$$H^* = T[H^*]$$

$$H_N = H^* + \delta H_N$$

$$H_{N+1} = T[H^* + \delta H] = H^* + L[H^*] \cdot \delta H + \mathcal{O}[\delta H_N^2]$$

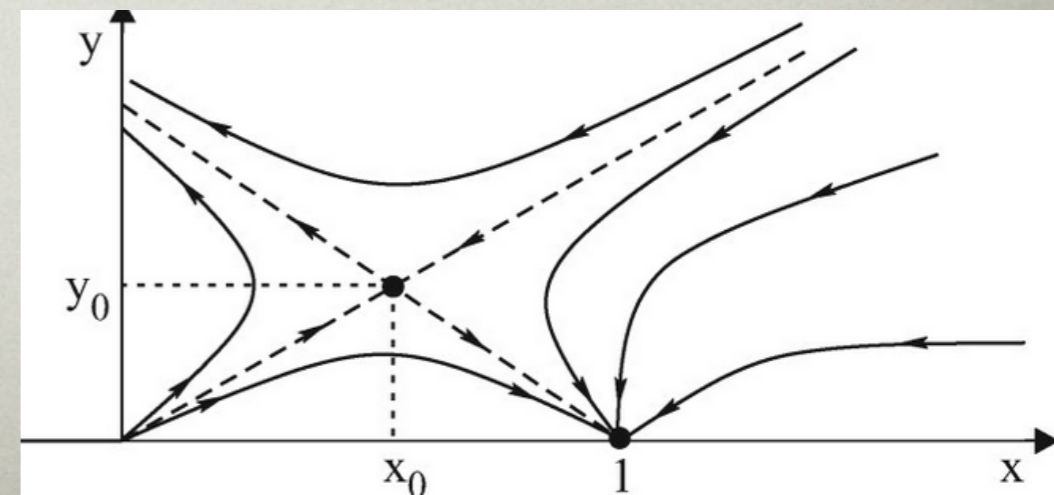
$$L[H^*] \cdot O = \lambda O$$

$$\delta H_N = \sum_m c_m \lambda_m^N O_m$$

$\lambda > 1$  relevant operator

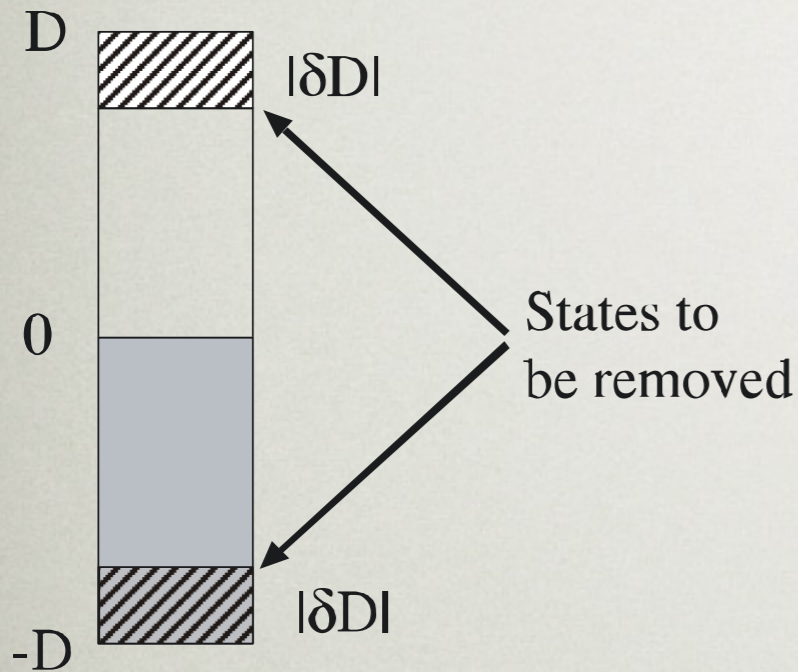
$\lambda < 1$  irrelevant operator (universality!)

$\lambda = 1$  marginal operator





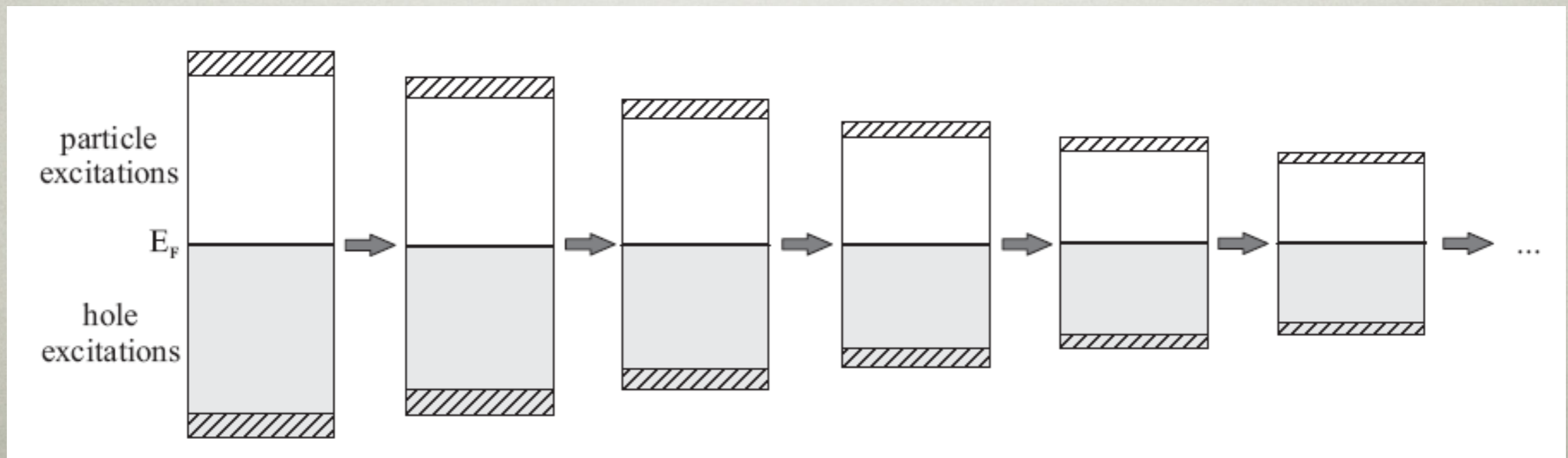
# CUTOFF RENORMALIZATION



$$\frac{d\mathcal{J}}{d \ln \mathcal{D}} = -\rho \mathcal{J}^2$$

$$\mathcal{J}(D) = \frac{J}{1 - \rho J \ln(\mathcal{D}/D)}$$

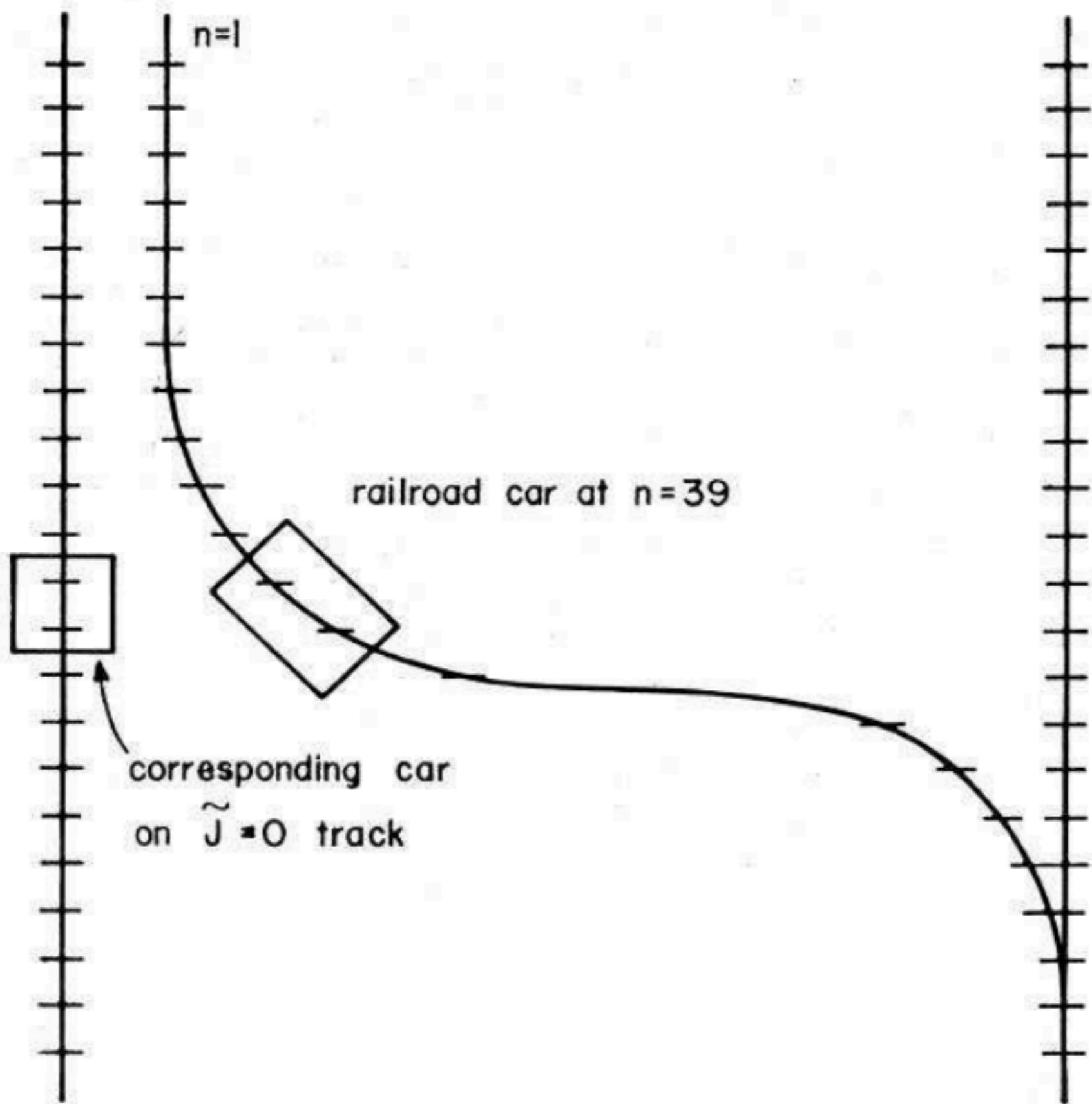
$$T_K \sim D \exp\left(-\frac{1}{\rho J}\right)$$



$\tilde{J}=0$

$\tilde{J} = (.055)$

$\tilde{J} = (\infty)$



# UNIVERSALITY

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Kadanoff (1960s)

Stable fixed points define **universality classes**.

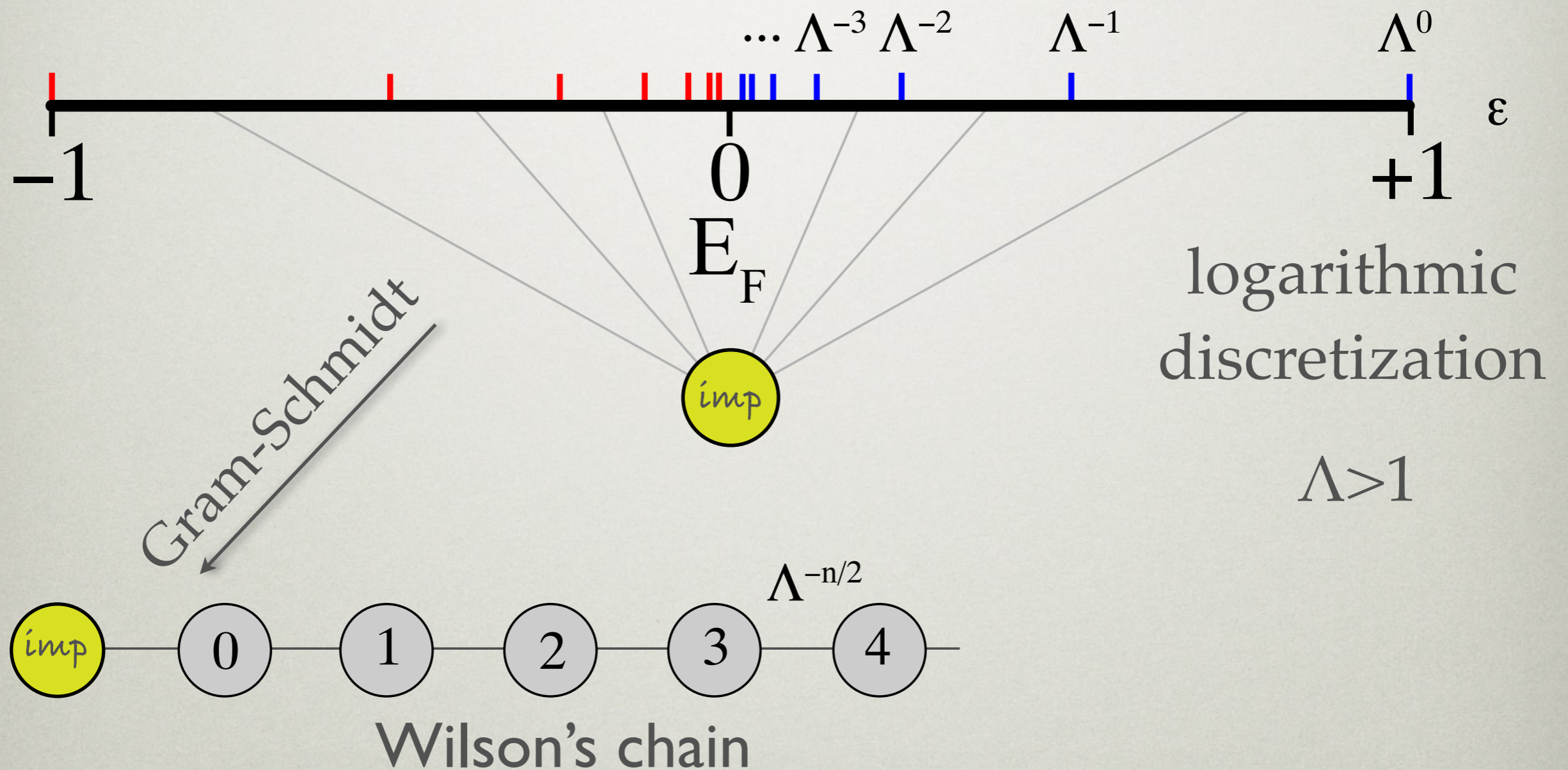
Dictated by dimensionality, degrees of freedom, symmetry.

Usually discrete sets of fixed points for a given family of Hamiltonians, or low-dimensional sets (lines, sheets, etc.) parametrized by coefficients corresponding to "marginal operators".

## **PART 2: THE ALGORITHM**

# NUMERICAL RENORMALIZATION GROUP

K. G. Wilson, Rev. Mod. Phys. 47, 773 (1975)



# NRG

---

Nondiagrammatic RG transformation which are solved numerically.

- Reduction to a 1D problem
- Logarithmic discretization
- Mapping to a "hopping Hamiltonian"
- Iterative diagonalization of hopping Hamiltonian

# REDUCTION TO A 1D MODEL

---

Because the impurity is point-like, the Hamiltonian maps to a 1D model.

k-space basis:

$$H = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}} + \sum_{\mathbf{k}} \left( V_{\mathbf{k}} c_{\mathbf{k}}^{\dagger} d + \text{H.c.} \right) + H_{\text{imp}}$$

Lanczos algorithm



chain basis:

$$H = V (f_0^{\dagger} d + \text{H.c.}) + \sum_{n \geq 0} \left[ \epsilon_n f_n^{\dagger} f_n + t_n (f_n^{\dagger} f_{n+1} + \text{H.c.}) \right] + H_{\text{imp}}$$

noninteracting 1D chain with interacting impurity attached to the edge

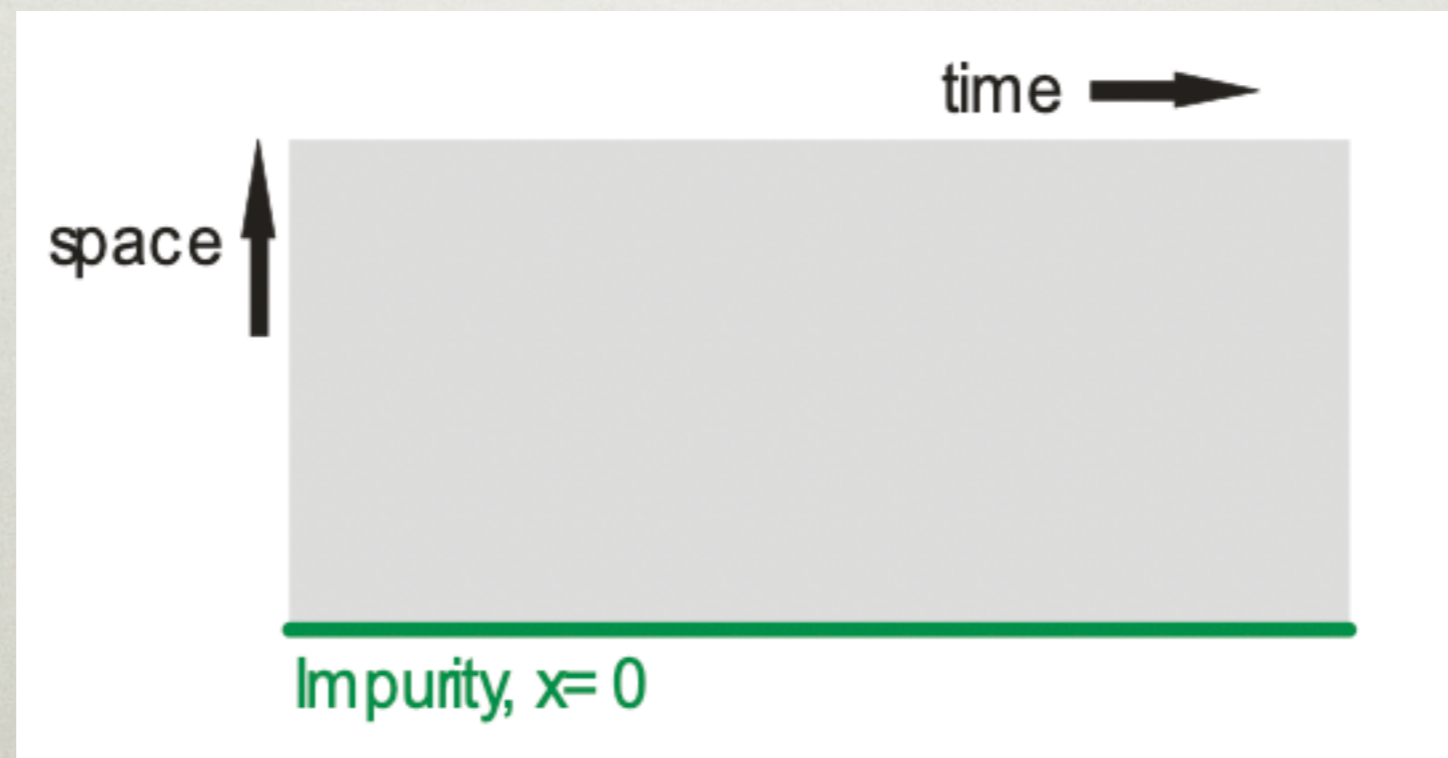
starting point for conformal field theory (CFT) approach

# BOUNDARY CONFORMAL FIELD THEORY

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Kondo model = 1D relativistic quantum field theory with massless particles  
→ conformal symmetry

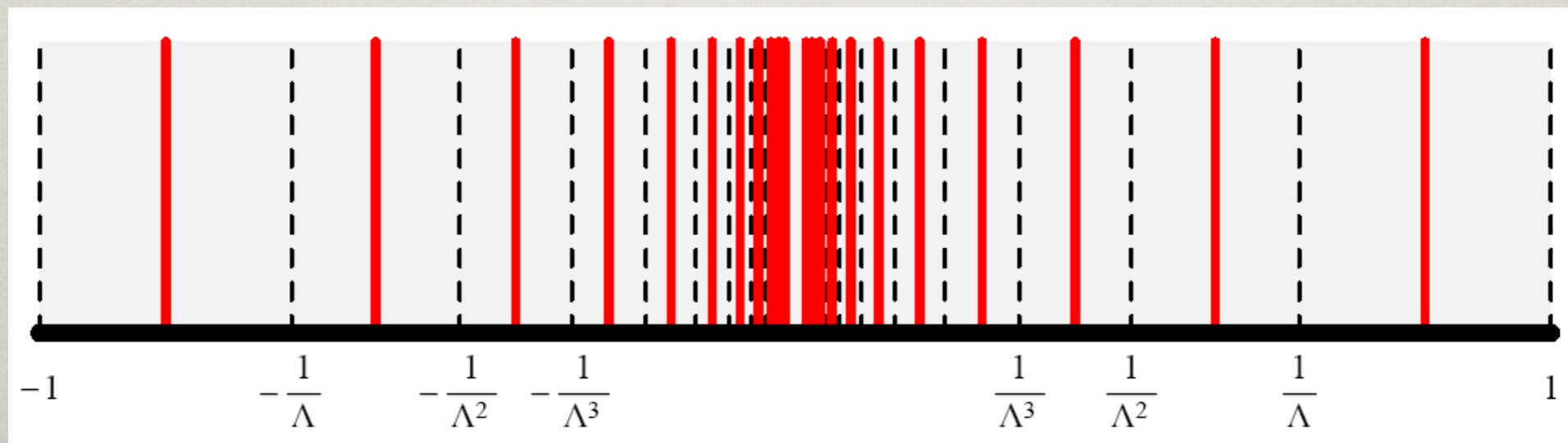
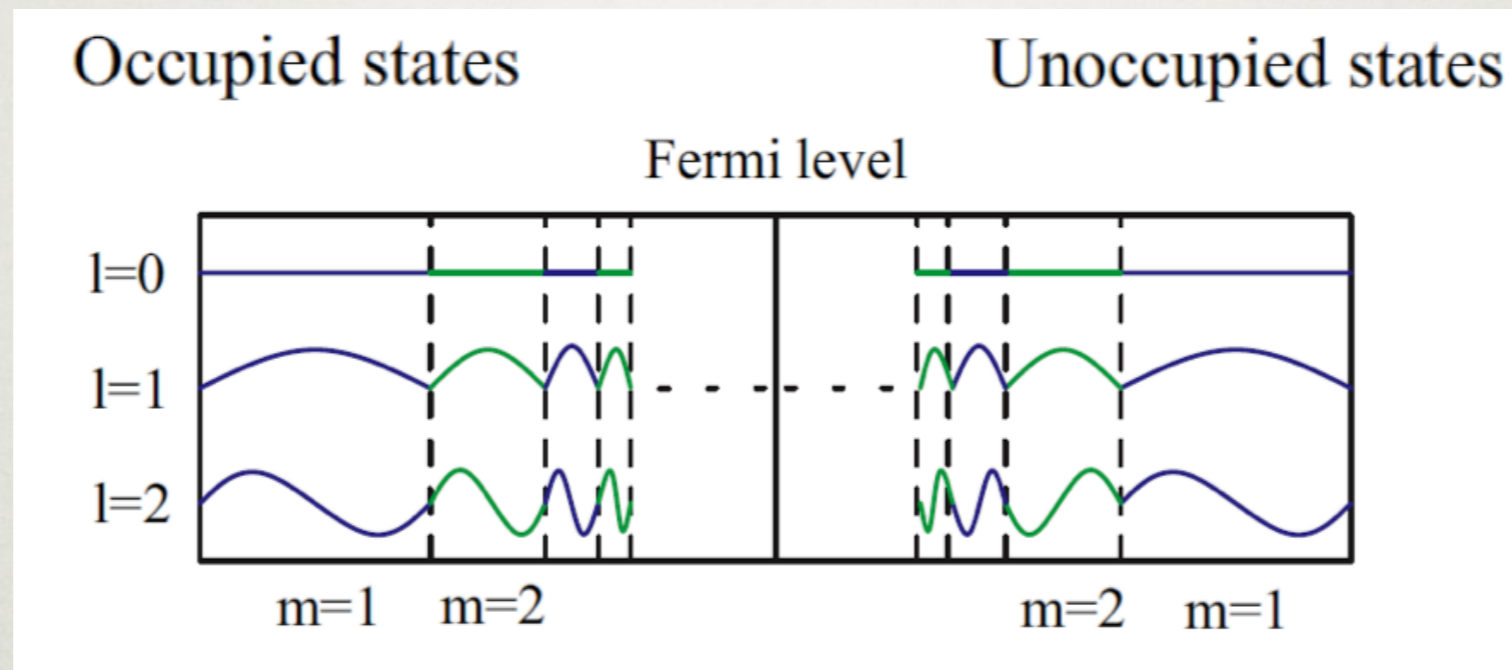
Interpretation of the Kondo effect as fusion of impurity degrees of freedom  
by the continuum





# METHOD

## LOGARITHMIC DISCRETIZATION

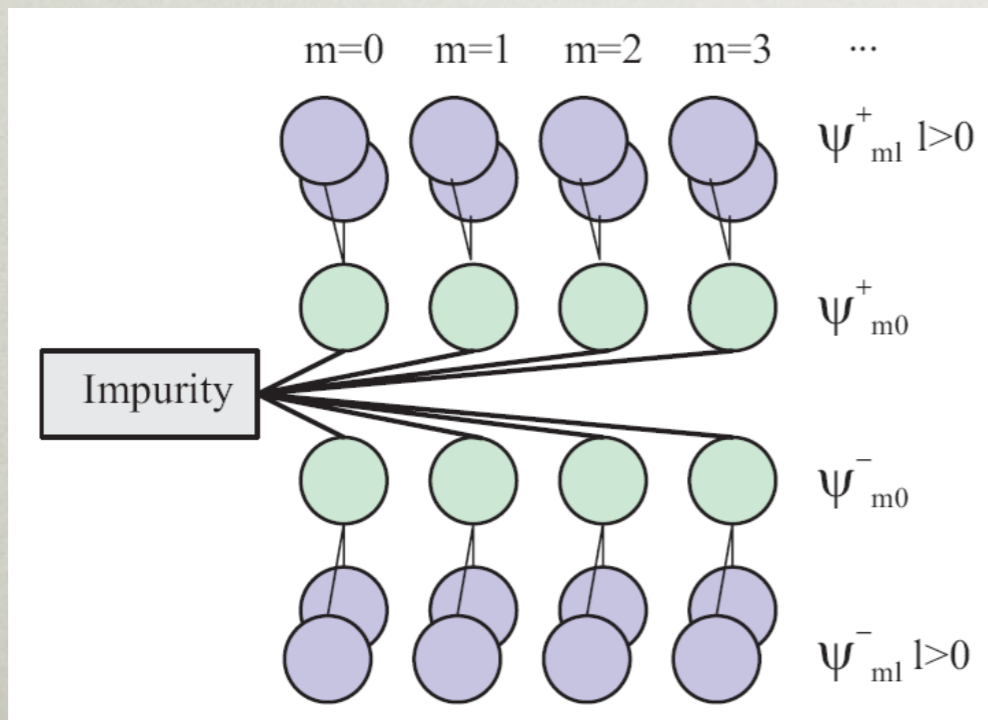
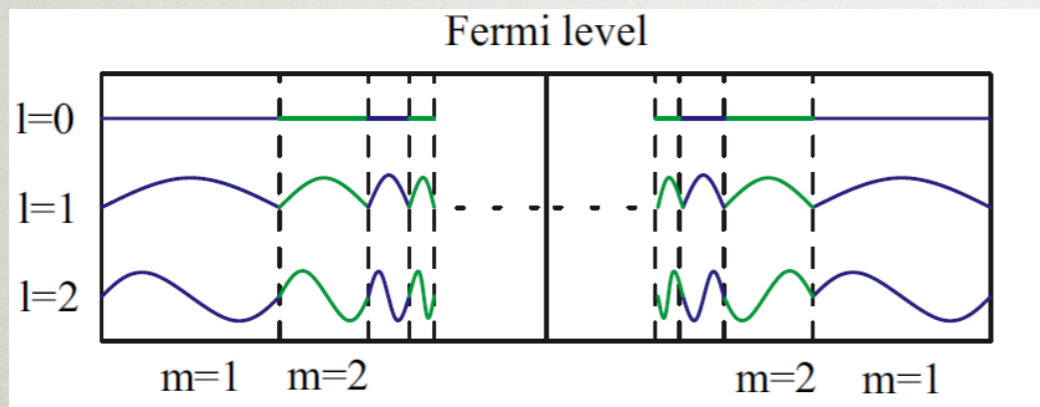


good sampling of the states near the Fermi energy

# REPRESENTATIVE STATES

Occupied states

Unoccupied states

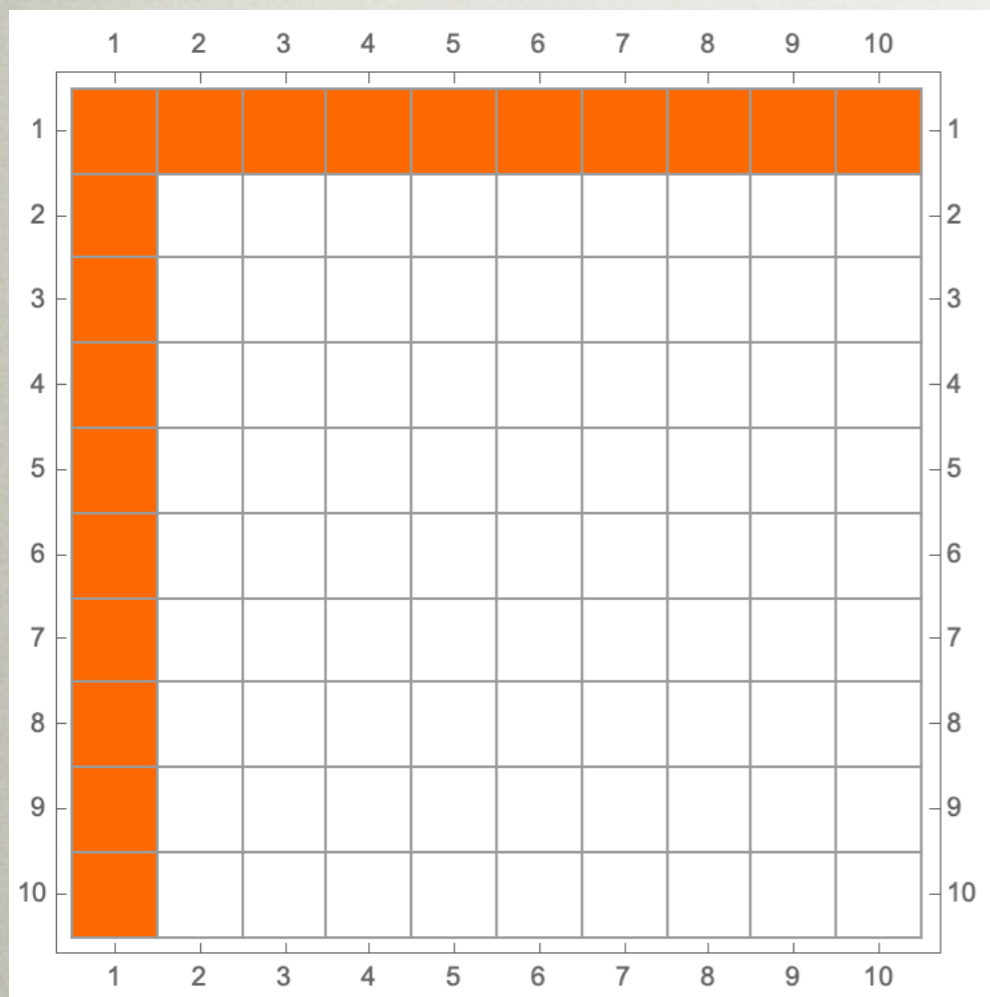


We keep only  $l=0$ .

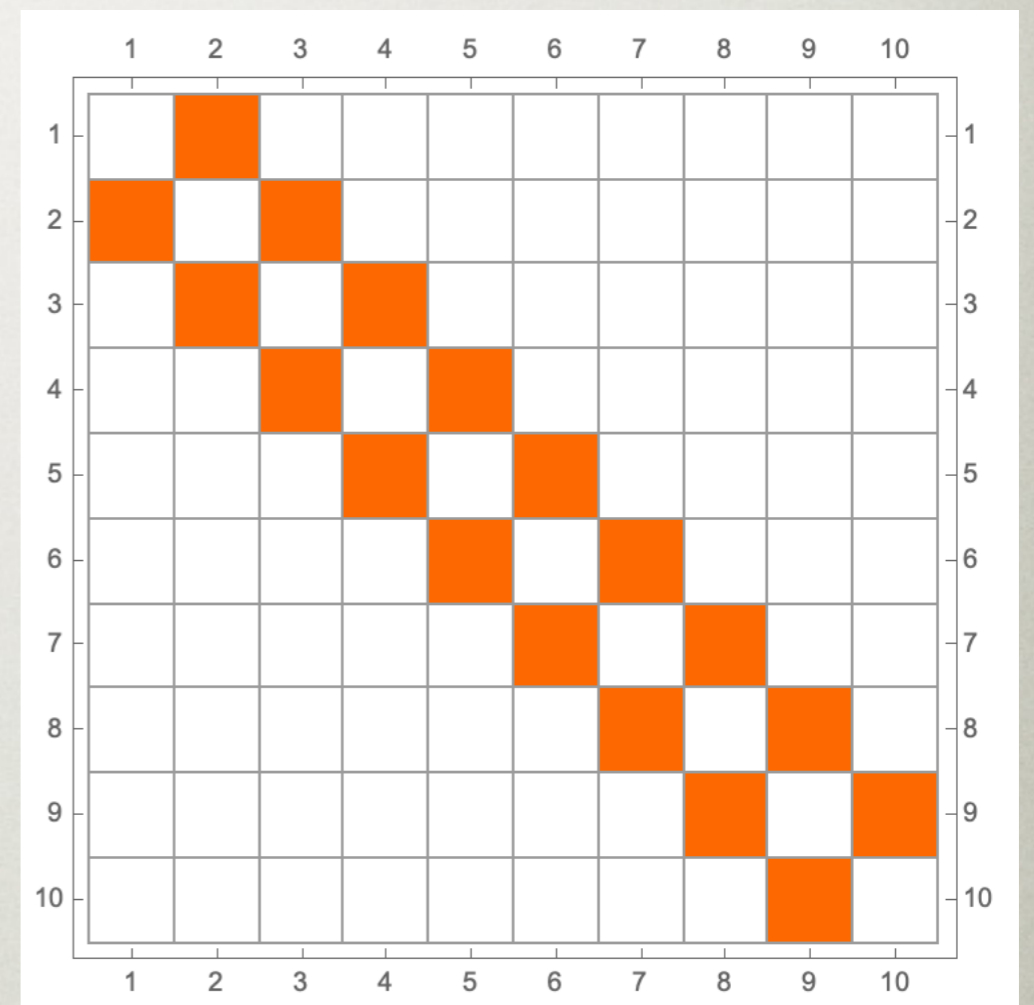
These are the "representative states"

# TRIDIAGONALISATION

Another application of the Lanczos (Gram-Schmidt) procedure.



star  
representation



chain  
representation

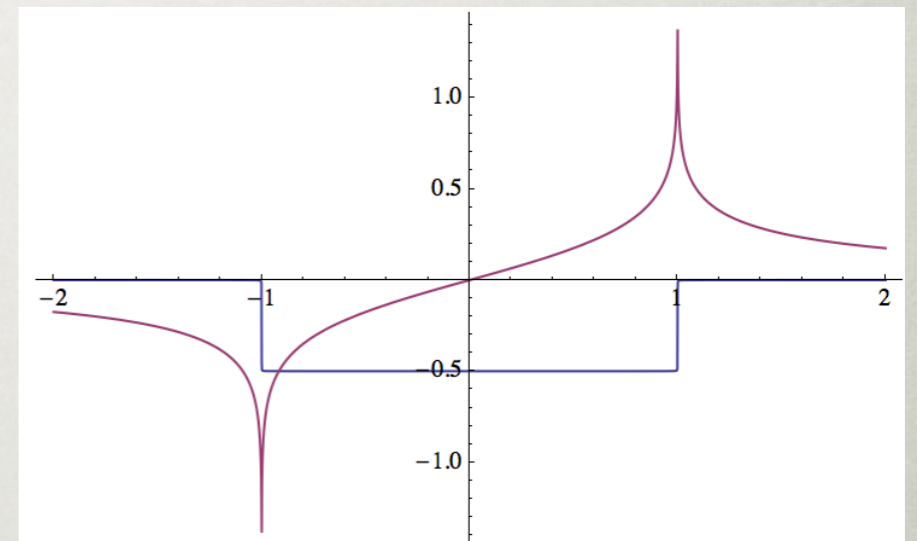
# EXACT RESULTS FOR FLAT BAND

---

$$\rho(\epsilon) = \frac{1}{2D} \quad \text{for} \quad -D < \epsilon < D$$

$$\Delta(z) = \frac{\Gamma}{2\pi} \ln \frac{z+1}{z-1}$$

$$\Gamma(\epsilon) = \pi \rho(\epsilon) V^2 = \text{Im} \Delta(\epsilon + i0^+)$$



$$t_i = D \frac{(1 + \Lambda^{-1})(1 - \Lambda^{-i-1})}{2\sqrt{1 - \Lambda^{-2i-1}}\sqrt{1 - \Lambda^{-2i-3}}} \Lambda^{-i/2}$$

$$A_\Lambda = \frac{\ln \Lambda}{2} \frac{1 + \Lambda^{-1}}{1 - \Lambda^{-1}}$$

Good exercise in Legendre polynomials and Bonnet recursion formula!

# ZERO-TH SITE OF THE WILSON CHAIN

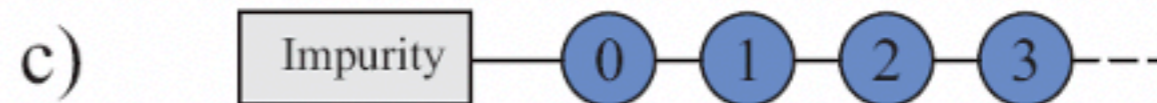
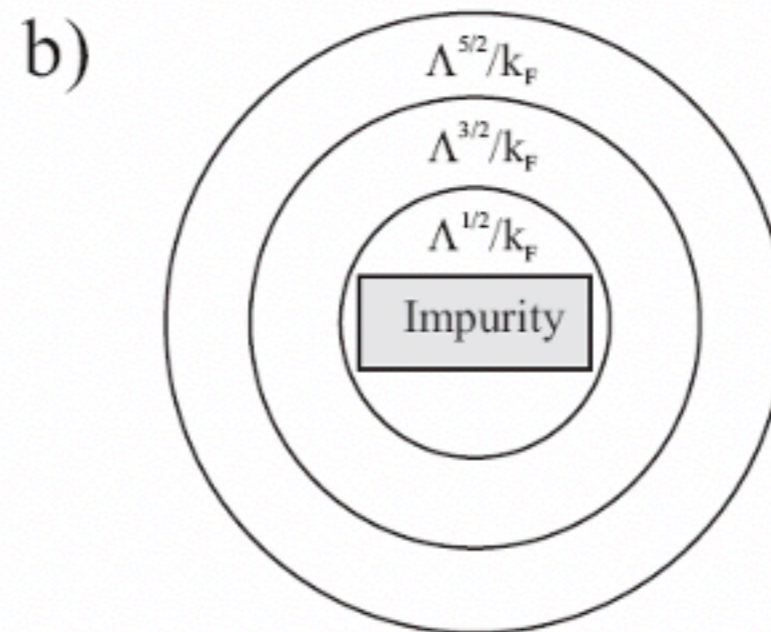
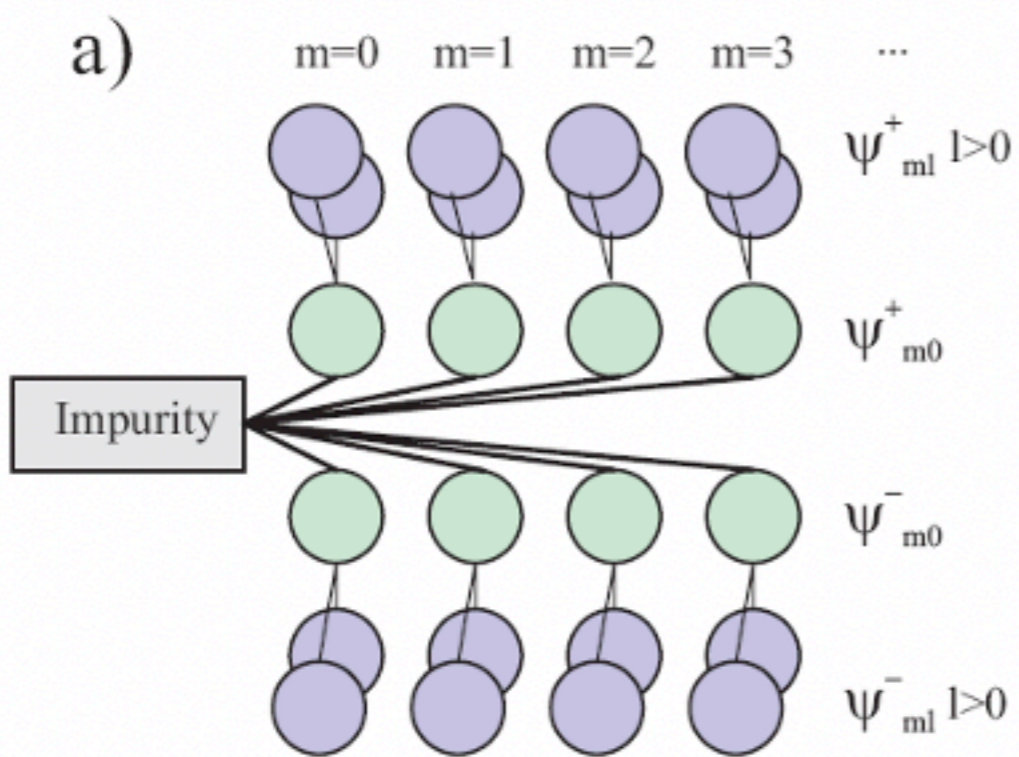
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$$f_{0\mu}^\dagger = \frac{1}{\sqrt{N_c}} \sum_k c_{k\mu}^\dagger$$

This is the conduction-band orbital at the position of the impurity.

*More generally:*

$$V f_{0\mu}^\dagger = \frac{1}{\sqrt{N_c}} \sum_k V_k c_{k\mu}^\dagger \quad |V|^2 = \frac{1}{N_c} \sum_k |V_k|^2$$



## INITIAL HAMILTONIAN

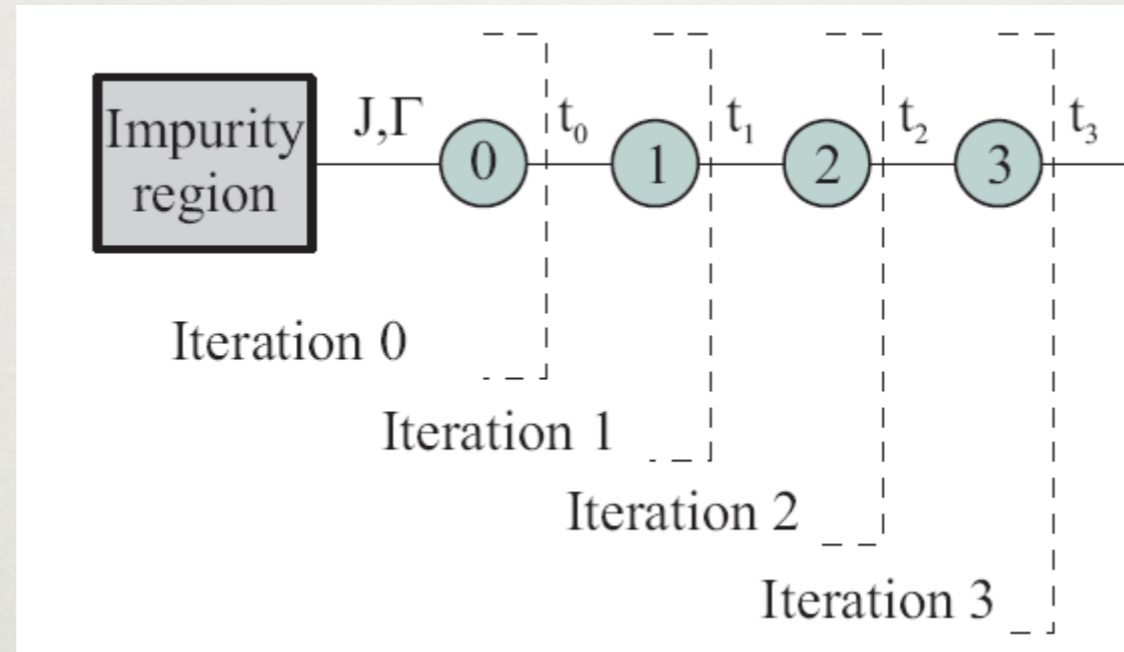
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$$H_0 = H_{\text{imp}} + \sum_{\sigma} \epsilon_0 f_{0\sigma}^{\dagger} f_{0\sigma} + \sqrt{\frac{\xi_0}{\pi}} \sum_{\sigma} \left( d_{\sigma}^{\dagger} f_{0\sigma} + f_{0\sigma}^{\dagger} d_{\sigma} \right)$$

$$H_0 |r\rangle_0 = E_0(r) |r\rangle_0$$

# ITERATIVE DIAGONALIZATION

---



$$H_{N+1} = T[H_N]$$

$$H_{N+1} = \Lambda^{-1/2} H_N + \xi_N (f_{N+1,\sigma}^\dagger f_{N,\sigma} + f_{N,\sigma}^\dagger f_{N+1,\sigma})$$



# BASIS CONSTRUCTION

---

$$|Q, S_z, r; 1\rangle_{N+1} = |Q + 1, S_z, r\rangle_N,$$

$$|Q, S_z, r; 2\rangle_{N+1} = c_{N+1\uparrow}^\dagger \left| Q, S_z - \frac{1}{2}, r \right\rangle_N,$$

$$|Q, S_z, r; 3\rangle_{N+1} = c_{N+1\downarrow}^\dagger \left| Q, S_z + \frac{1}{2}, r \right\rangle_N,$$

$$|Q, S_z, r; 4\rangle_{N+1} = c_{N+1\uparrow}^\dagger c_{N+1\downarrow}^\dagger |Q - 1, S_z, r\rangle_N.$$

# SYMMETRIES

---

$SU(2)_{\text{spin}}$

$$\mathbf{S} = \sum_{\mu\mu'} d_{\mu}^{\dagger} \left( \frac{1}{2} \boldsymbol{\sigma}_{\mu\mu'} \right) d_{\mu'}$$

$$\boldsymbol{\sigma} = \{ \sigma^x, \sigma^y, \sigma^z \}$$

$$S^z = \frac{1}{2} \left( d_{\uparrow}^{\dagger} d_{\uparrow} - d_{\downarrow}^{\dagger} d_{\downarrow} \right)$$

$$S^+ = S^x + iS^y = d_{\uparrow}^{\dagger} d_{\downarrow}$$

$$S^- = S^x - iS^y = d_{\downarrow}^{\dagger} d_{\uparrow}$$

Total spin:  $\mathbf{S}_{\text{total}} = \sum_i \mathbf{S}_i$

# BASIS CONSTRUCTION

$$|r; s\rangle_{N+1} = |r\rangle_N \otimes |s(N+1)\rangle$$

$(\mathbf{q}, \mathbf{s})$	States ( $\mathbf{k}$ )
$(-1, 0)$	1
$(0, \frac{1}{2})$	$a_{\uparrow}^{\dagger}$
$(1, 0)$	$a_{\downarrow}^{\dagger} a_{\uparrow}^{\dagger}$

(a) One channel

$(\mathbf{q}, \mathbf{s})$	States ( $\mathbf{k}$ )
$(-2, 0)$	1
$(-1, \frac{1}{2})$	$b_{\uparrow}^{\dagger}, a_{\uparrow}^{\dagger}$
$(0, 0)$	$b_{\downarrow}^{\dagger} b_{\uparrow}^{\dagger}, \frac{1}{\sqrt{2}} (a_{\downarrow}^{\dagger} b_{\uparrow}^{\dagger} - a_{\uparrow}^{\dagger} b_{\downarrow}^{\dagger}), a_{\downarrow}^{\dagger} a_{\uparrow}^{\dagger}$
$(0, 1)$	$b_{\uparrow}^{\dagger} a_{\uparrow}^{\dagger}$
$(1, \frac{1}{2})$	$a_{\uparrow}^{\dagger} b_{\downarrow}^{\dagger} b_{\uparrow}^{\dagger}, b_{\uparrow}^{\dagger} a_{\downarrow}^{\dagger} a_{\uparrow}^{\dagger}$
$(2, 0)$	$a_{\uparrow}^{\dagger} a_{\downarrow}^{\dagger} b_{\uparrow}^{\dagger} b_{\downarrow}^{\dagger}$

(b) Two channels

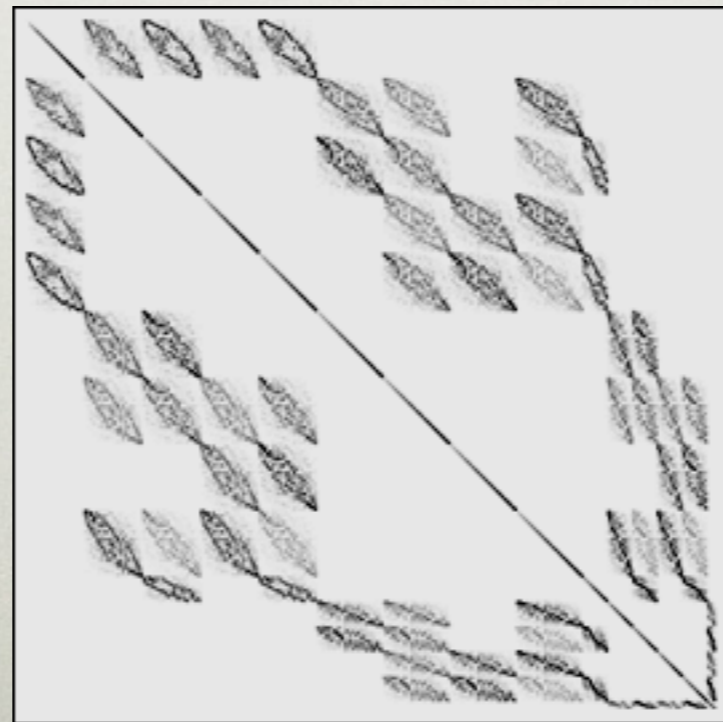
$$|QSS_z r i\rangle_{N+1} = \sum_{\mu=-S(i)}^{S(i)} \langle g_i^{\mu}(SS_z); S(i), \mu | SS_z \rangle |F_i(QS) f_i^{\mu}(S_z) r\rangle_N \otimes |i, \mu\rangle$$

# HAMILTONIAN MATRIX GENERATION

---

$$H_{N+1}(rs, r's') = {}_{N+1}\langle r; s | H_{N+1} | r'; s' \rangle_{N+1}$$

$$H_{N+1} = \sqrt{\Lambda} H_N + X_{N,N+1} + Y_{N+1}$$



# MATRIX DIAGONALISATION

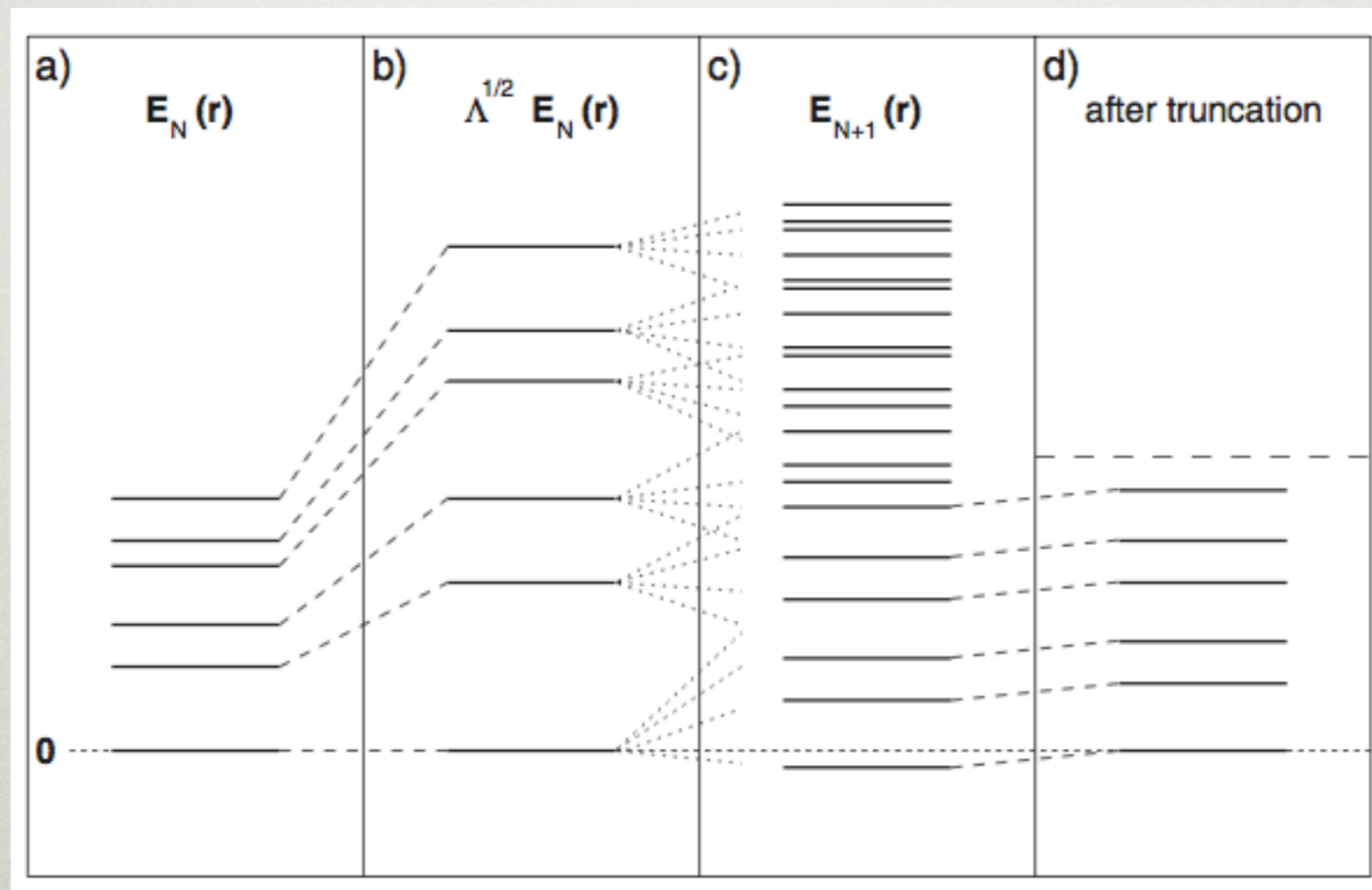
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- Full diagonalisation with `dsyev/zheev`
- Partial diagonalisations with `dsyevr/zheevr`

Largest amount of the processor time spent here!

$$|QS\omega\rangle = \sum_{ri} U_{QS}(\omega, ri) |QSri\rangle$$

# TRUNCATION



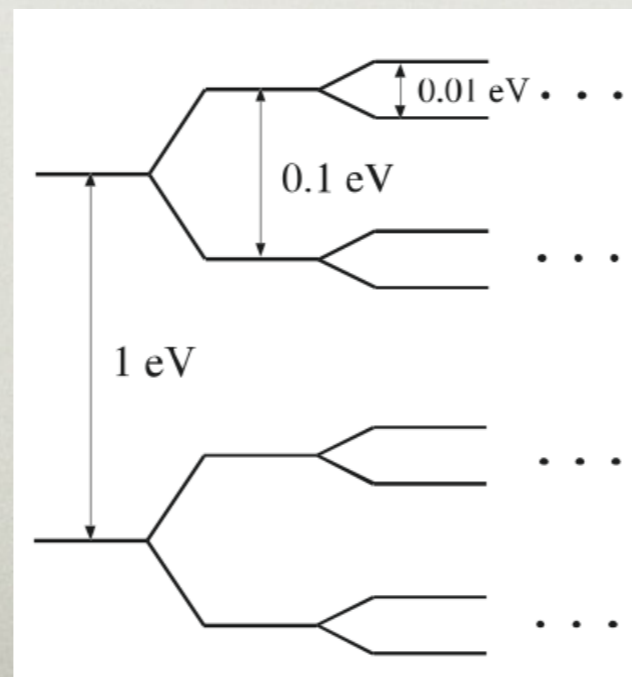
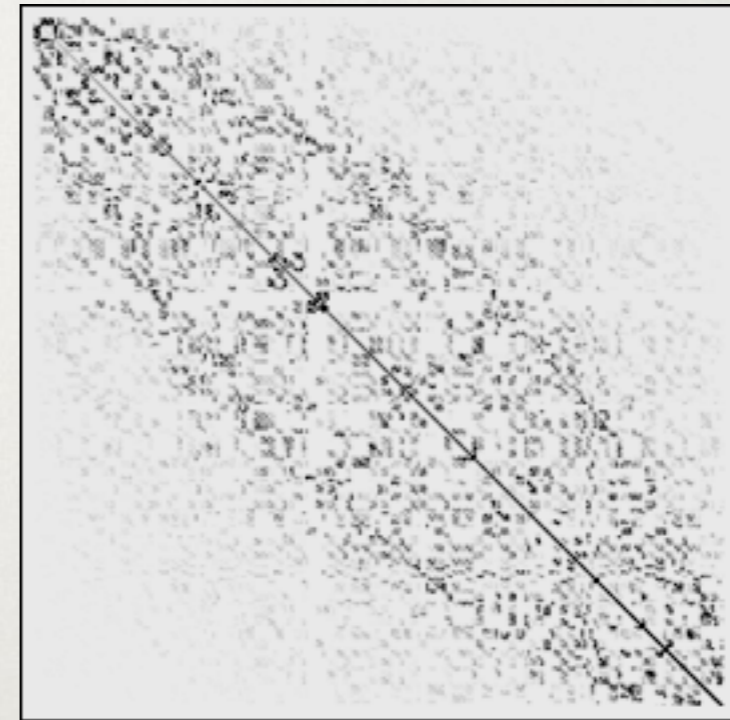
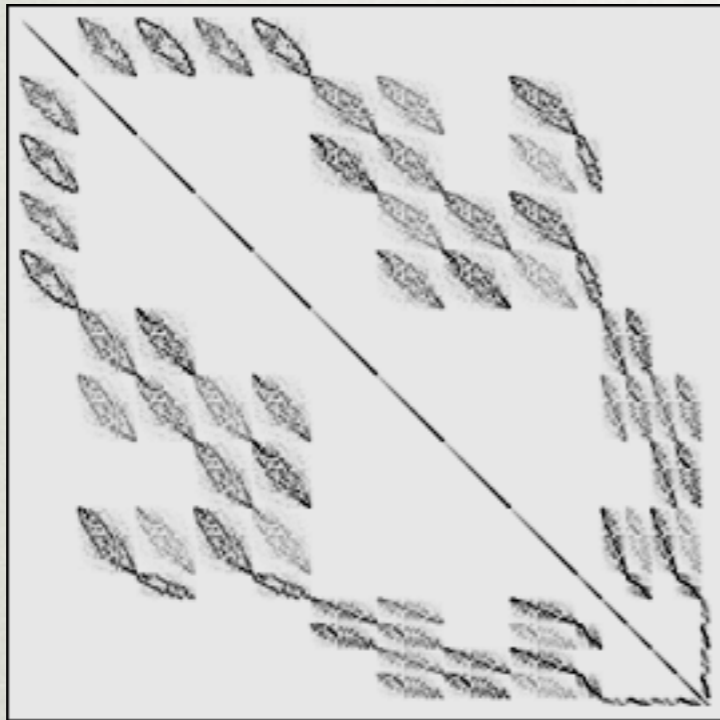
rescaling

# CHARACTERISTIC ENERGY SCALE

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$$T_N \propto \frac{D}{k_B} \Lambda^{-N/2} / \bar{\beta} \quad \bar{\beta} \sim 1$$

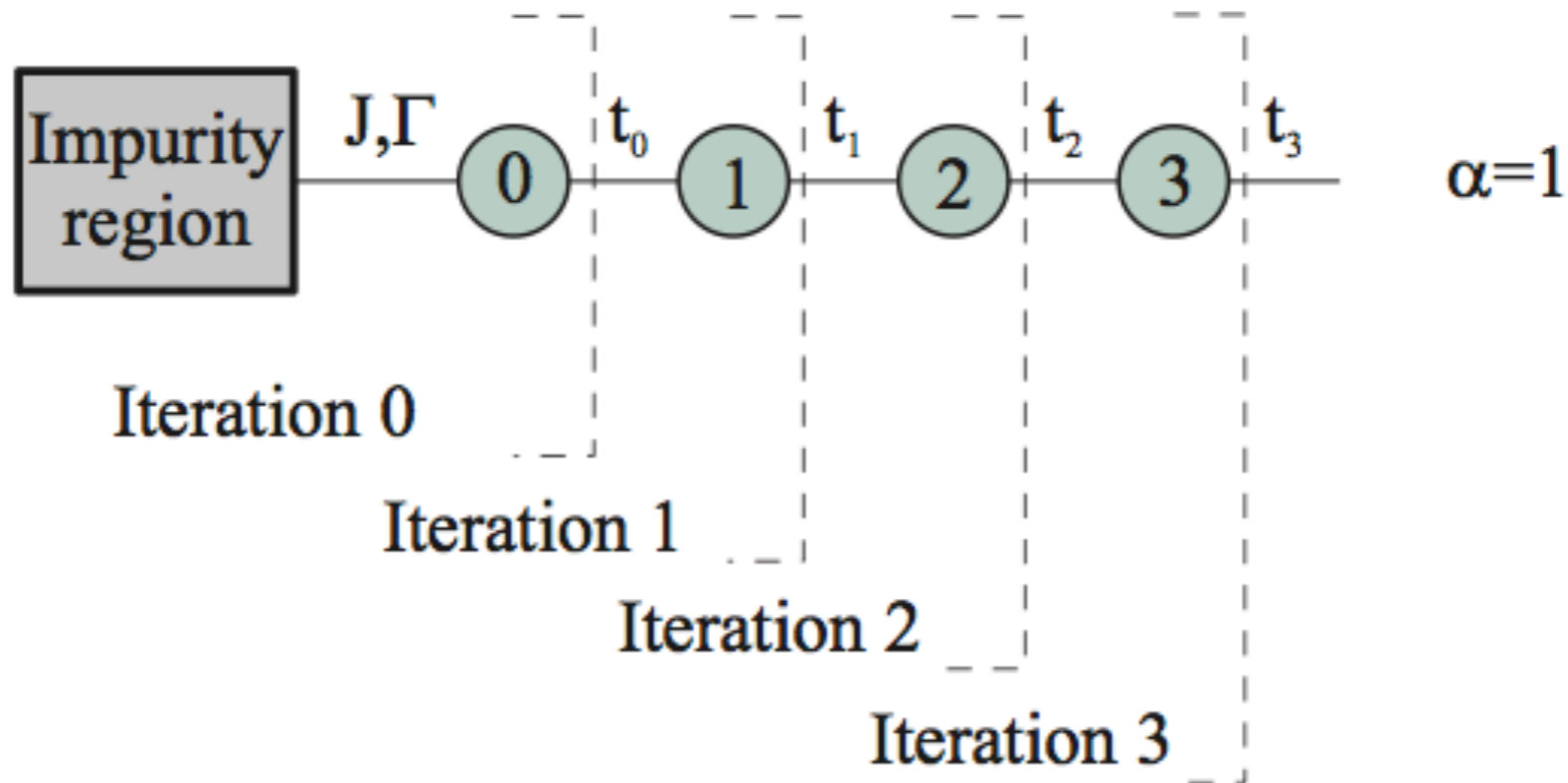
# ENERGY-SCALE SEPARATION



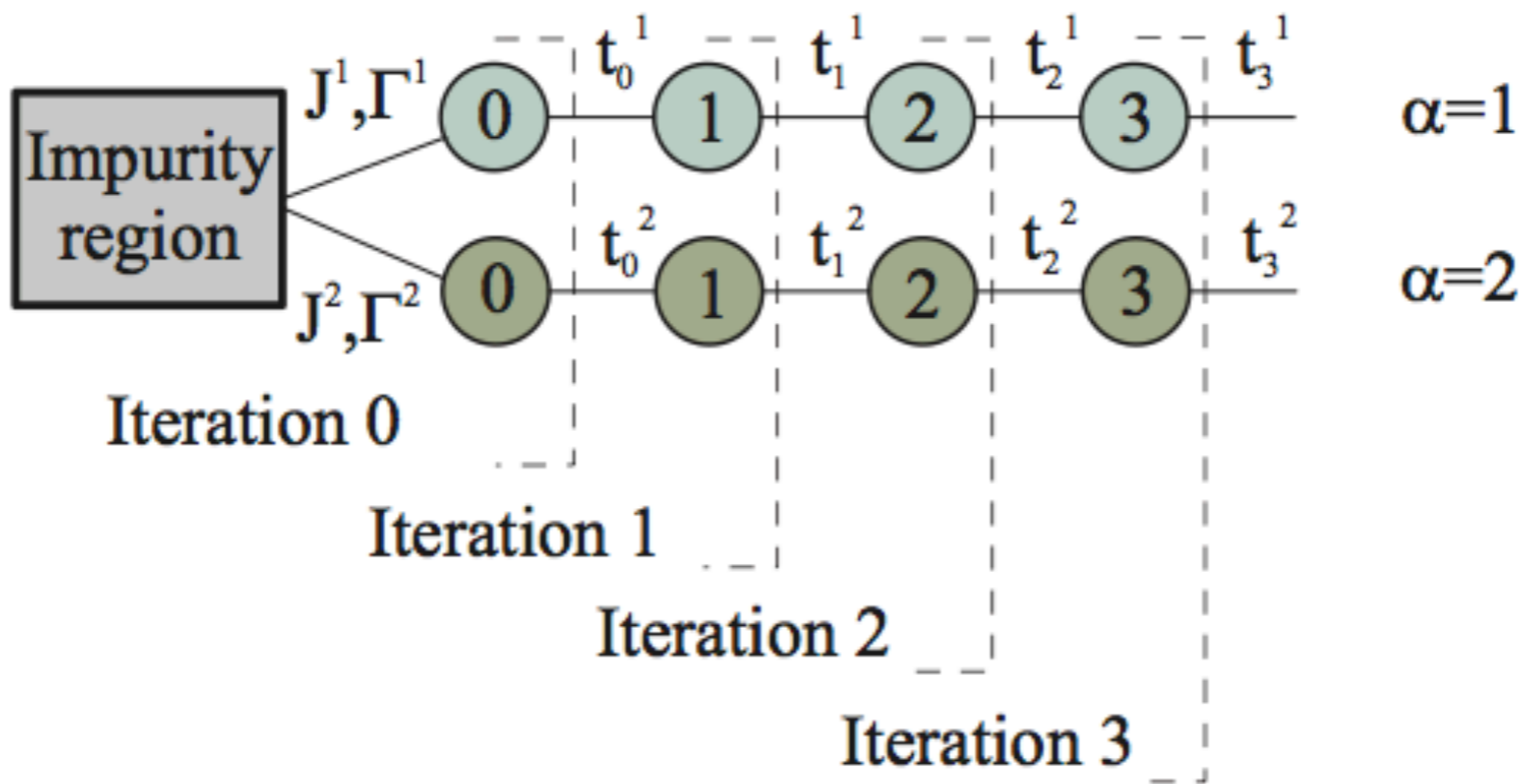
Energy scales are **locally** coupled



a) One-channel case



b) Two-channel case



- Number of states:

- $4^N$  (single-channel – impurity couples to a single continuum of conduction band states)

- $16^N$  (two-channel – two different continuums)

- We only keep states up to some cut-off energy, or some finite number of states (few 1000): good approximation!

# RECALCULATION OF OPERATORS

---

$$|w\rangle_{N+1} = \sum_{rs} U(w, rs) |r; s\rangle_{N+1}$$

$$\begin{aligned} \langle w|O|w'\rangle_{N+1} &= \sum_{rs, r's'} U^*(w, rs) U(w', r's') \langle rs|O|r's'\rangle_{N+1} \\ &= \sum_s \sum_{rr'} U^*(w, rs) O_{rr'}^{(N)} U(w', r's) \end{aligned}$$

# WIGNER-ECKART THEOREM

---

$O$  is a **spherical tensor operator** of rank  $M$  if:

$$\begin{aligned} [J_z, O_\mu^M] &= \mu O_\mu^M \\ [J_+, O_\mu^M] &= A(M, \mu) O_{\mu+1}^M \\ [J_-, O_\mu^M] &= A(M, -\mu) O_{\mu-1}^M \end{aligned}$$

$$A(M, \mu) = \sqrt{(M - \mu)(M + \mu + 1)}.$$

$$\langle \alpha, j, j_z | O_\mu^M | \alpha', j', j'_z \rangle = \langle j' j'_z; M \mu | j j_z \rangle \langle \alpha, j || O^M || \alpha', j' \rangle$$

For a more general treatment of non-Abelian symmetries in NRG, see  
A. I. Toth, C. P. Moca, O. Legeza, G. Zarand, PRB 78, 245109 (2008),  
A. Weichselbaum, Annals of Physics 327, 2972-3047 (2012).

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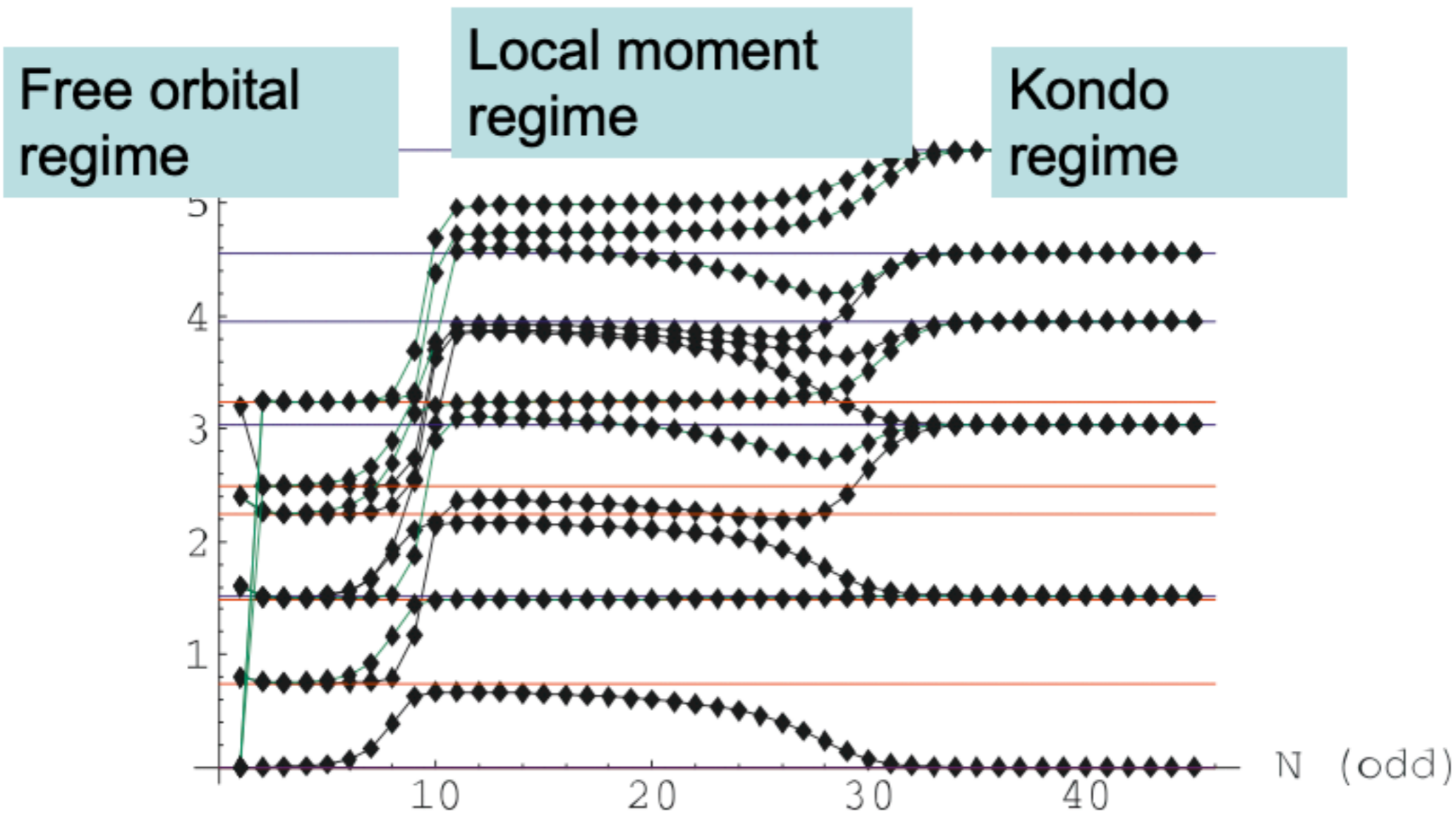
With symmetries:

$$\langle QS\omega || \hat{O} || Q'S'\omega' \rangle_{N+1} = \frac{\langle QSS_z\omega | \hat{O}_\mu | Q'S'S'_z\omega' \rangle_{N+1}}{\langle S'S'_z; M\mu | SS_z \rangle}$$

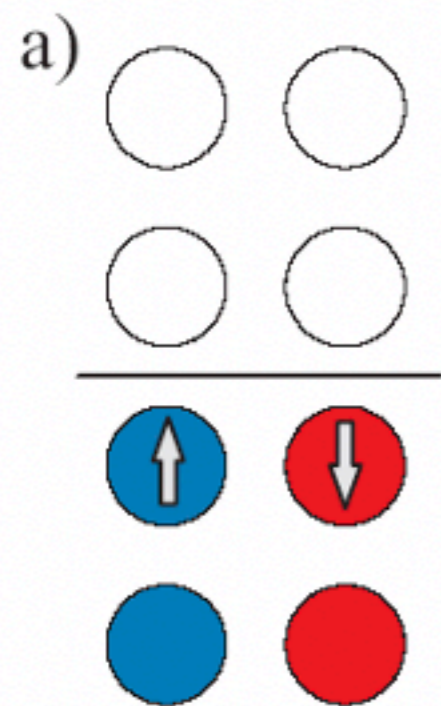
$$\langle QS\omega || \hat{O} || Q'S'\omega' \rangle_{N+1} = \sum_{ii'} C(QS, Q'S', ii') \sum_{rr'} U_{QS}(w, ri) U_{Q'S'}(w', r'i') \langle F_i(QS)r || \hat{O} || F_{i'}(Q'S')r' \rangle_N$$

## **PART 3: ANALYSIS OF RESULTS**

# Example: RG flow for the Anderson model

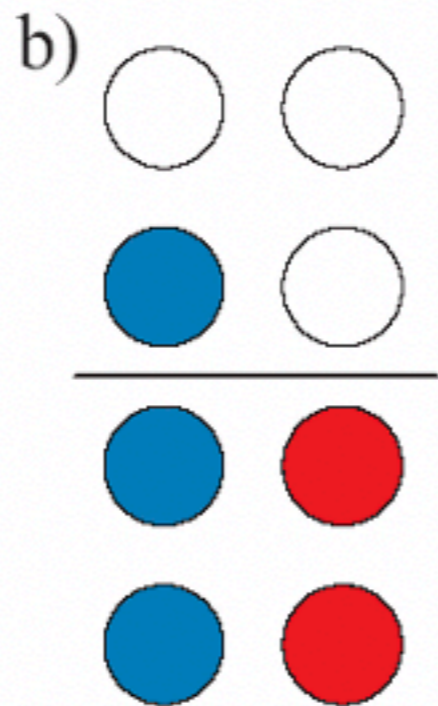


Renormalization flow of excitation energies.



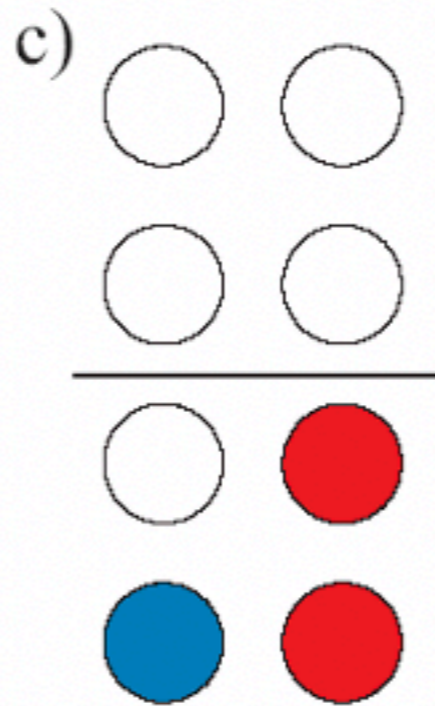
$Q=0, S=0$

Ground state



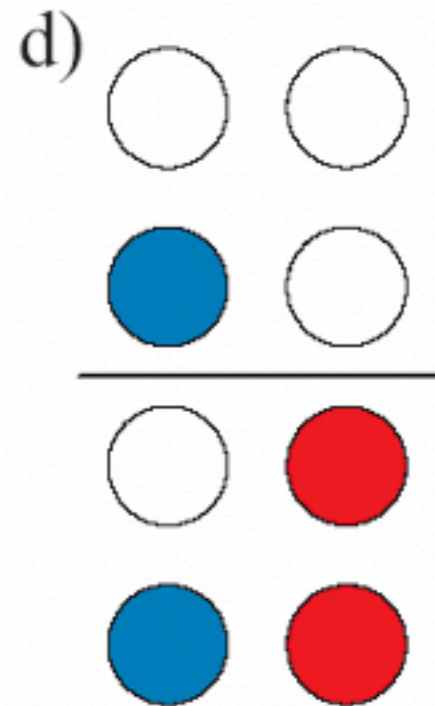
$Q=1, S=1/2$

Particle  
excitation



$Q=-1, S=1/2$

Hole  
excitation



$Q=0, S=0, 1$

Particle-hole  
excitation



# GROUND STATE AND EXCITED STATES

---

$$H|\psi_n\rangle = E_n|\psi_n\rangle$$

thermodynamics:

$$Z = \text{Tr} [e^{-\beta H}] = \sum_n e^{-\beta E_n} \quad \beta = \frac{1}{k_B T}$$

dynamics:

$$x(t) = \int_{-\infty}^t \chi(t - t') h(t') dt$$

$$\chi(t - t') = -i\langle [A(t), B(t')] \rangle$$

# GREEN'S FUNCTIONS - REVIEW

---

$$G_{AB}(t) = \langle\langle A; B \rangle\rangle_t := -i\theta(t) \langle [A(t), B(0)]_{\pm} \rangle$$

+ if A and B are fermionic operators  
- if A and B are bosonic operators

canonical:

$$\langle \hat{O} \rangle = \text{Tr} [\rho \hat{O}]$$

$$\rho = \frac{e^{-\beta H}}{Z}$$

$$Z = \text{Tr}[e^{-\beta H}]$$

grand canonical:

$$\rho = \frac{e^{-\beta K}}{\mathcal{Z}}$$

$$\mathcal{Z} = \text{Tr}[e^{-\beta K}]$$

$$K = H - \mu N$$

Heisenberg representation:  $A(t) = e^{iHt} A e^{-iHt}$

$$A(t) = e^{iKt} A e^{-iKt}$$

# GREEN'S FUNCTION IN FREQUENCY DOMAIN

---

Laplace transformation:

$$G_{AB}(z) = \langle\langle A; B \rangle\rangle_z = \int_0^{\infty} dt e^{izt} \langle\langle A; B \rangle\rangle_t, \quad \Im z > 0$$

Inverse Laplace transformation:

$$G_{AB}(t) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} d\omega e^{-i(\omega+i\delta)t} G_{AB}(\omega + i\delta)$$

Impurity Green's function (for SIAM):

$$G(z) = \langle\langle d; d^\dagger \rangle\rangle_z$$

# SPECTRAL DECOMPOSITION

---

Correlation functions:  $C_{AB}^> = \langle A(t)B \rangle$        $C_{AB}^< = \langle BA(t) \rangle$

$$C_{AB}^{>,<}(\omega) = \int_{-\infty}^{\infty} e^{i\omega t} C_{AB}^{>,<}(t) dt$$

$$G_{AB}(t) = -i\theta(t)(C_{AB}^>(t) + \epsilon C_{AB}^<(t))$$

$\epsilon=+1$  if A and B are fermionic, otherwise  $\epsilon=-1$ .

Spectral representation:  $G_{AB}(z) = \int_{-\infty}^{\infty} d\omega \frac{\rho_{AB}(\omega)}{z - \omega}$

Spectral function:  $\rho_{AB}(\omega) = \frac{1}{2\pi} (C_{AB}^>(\omega) + \epsilon C_{AB}^<(\omega))$

# LEHMANN REPRESENTATION

---

$$p_n = e^{-\beta E_n}$$

$$C_{AB}^>(t) = \langle e^{iHt} A e^{-iHt} B \rangle = \sum_{nm} p_n A_{nm} B_{mn} e^{i(E_n - E_m)t}$$

$$C_{AB}^>(\omega) = \sum_{nm} p_n A_{nm} B_{mn} 2\pi \delta(\omega + E_n - E_m)$$

$$C_{AB}^<(\omega) = \sum_{nm} p_m A_{nm} B_{mn} 2\pi \delta(\omega + E_n - E_m)$$

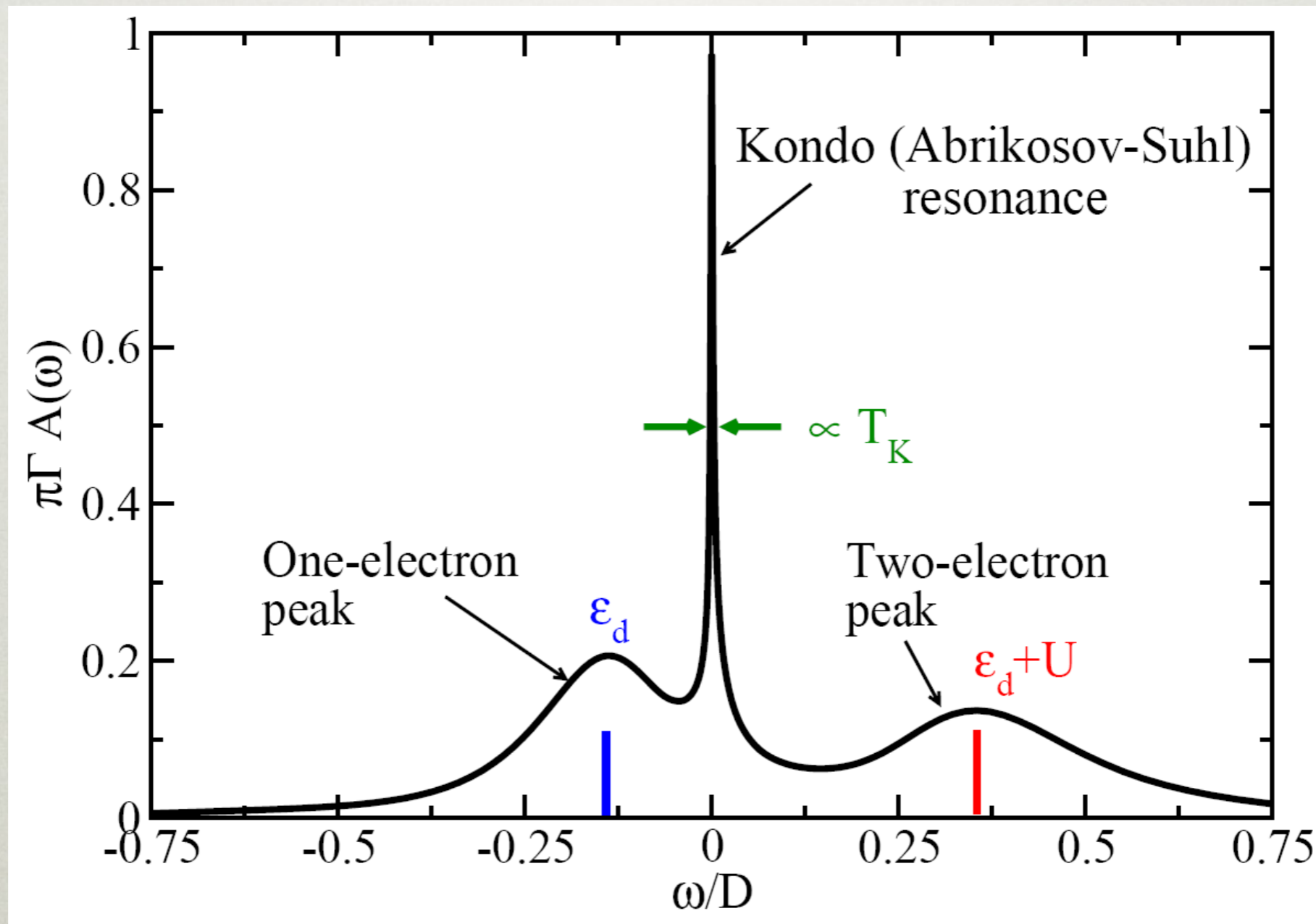
$$G_{AB}''(\omega) = -\pi \sum_{nm} p_n A_{nm} B_{mn} \delta(\omega + E_n - E_m) \left(1 + \epsilon e^{-\beta\omega}\right)$$

$$\rho_{AB}(\omega) = -\frac{1}{\pi} G_{AB}''(\omega)$$

# LEHMANN REPRESENTATION FOR IMPURITY SPECTRAL FUNCTION

---

$$A(\omega) = \sum_{nm} |\langle m | d^\dagger | n \rangle|^2 \delta(\omega - E_m - E_n) \frac{e^{-\beta E_m} + e^{-\beta E_n}}{Z}$$



# ANATOMY OF IMPURITY GREEN'S FUNCTION

---

$$G(z) = \frac{1}{z - \epsilon - \Delta(z) - \Sigma(z)}$$

hybridisation function

self-energy function



# HYBRIDISATION FUNCTION

---

$$\Delta(z) = \sum_k |V_k|^2 \frac{1}{z - \epsilon_k}$$

$$\Gamma(\omega) = -\text{Im} \Delta(z + i0^+)$$

$$\Gamma(\omega) = \pi \sum_k |V_k|^2 \delta(\omega - \epsilon_k) \approx \pi \rho_0 |V_{k_F}|^2$$

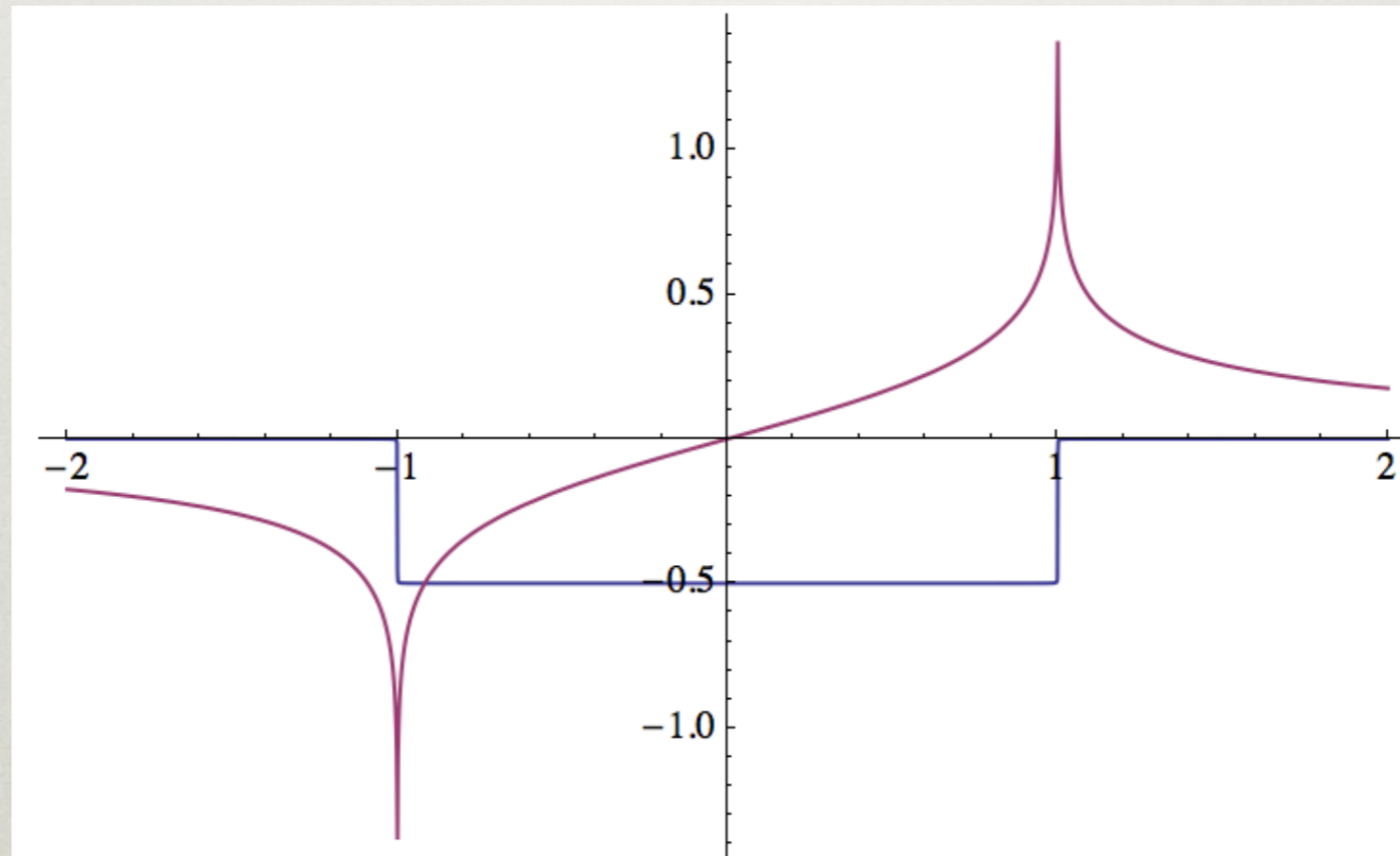
Hybridization function fully describes the effect of the conduction band on the impurity.

This is possible because the band is non-interacting.

# HYBRIDISATION FUNCTION FOR FLAT BAND

---

$$\Delta(z) = \frac{\Gamma}{2\pi} \ln \frac{z+1}{z-1}$$



# SELF-ENERGY

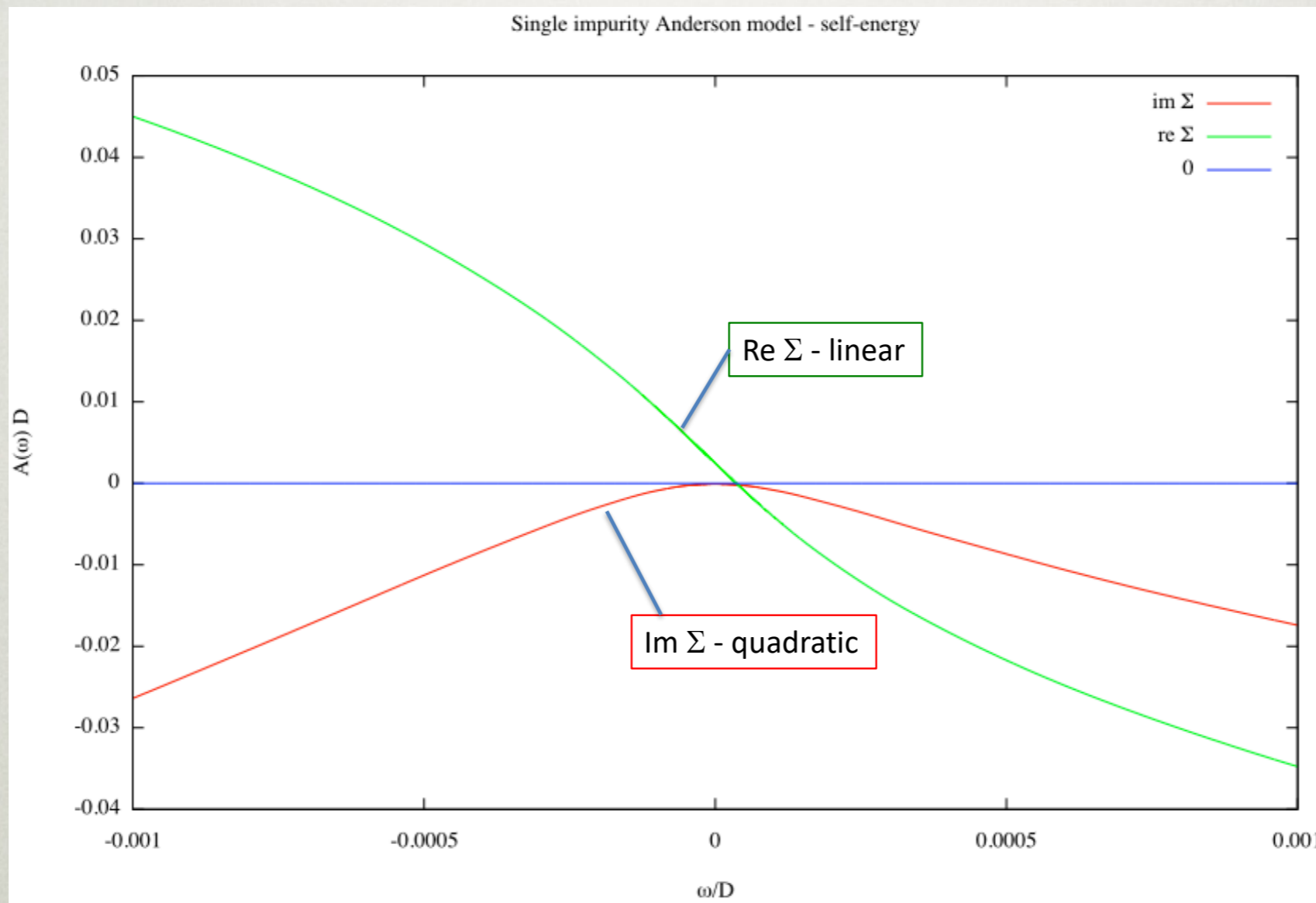
---

$$\Sigma(\omega) = \frac{\langle\langle [d, H_{\text{imp}}]; d^\dagger \rangle\rangle}{\langle\langle d; d^\dagger \rangle\rangle}$$

$$\text{SIAM: } \Sigma_\sigma(\omega) = \frac{\langle\langle U n_{\bar{\sigma}} d_\sigma; d_\sigma^\dagger \rangle\rangle}{\langle\langle d_\sigma; d_\sigma^\dagger \rangle\rangle}$$

Self-energy function describes the effect of the local interaction on the impurity at the level of single-particle excitations.

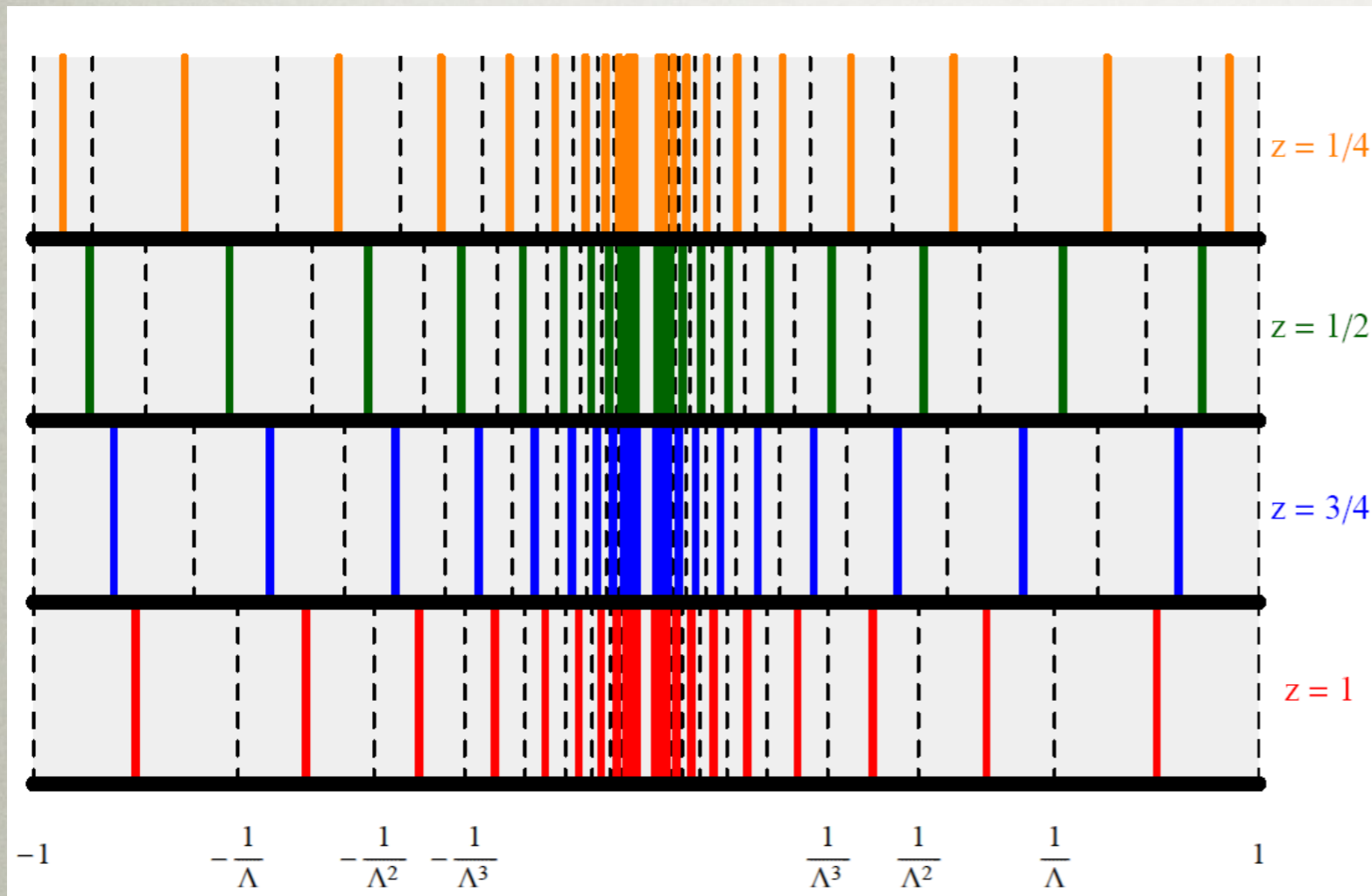
# $\Sigma$ AT LOW FREQUENCIES



Characteristic behavior of **Fermi-liquid** systems.

$$\text{Im } \Sigma(\omega, T) = a(\omega^2 + \pi^2 T^2) + b\omega^3 + c\omega T^2 + \dots$$

# Z-AVERAGING



$$\epsilon_1^z = D$$

$$\epsilon_j^z = D\Lambda^{2-j-z}$$

$$z \in (0 : 1]$$

works best for  $N_z=2^N$

similar to *twist averaging* over different boundary conditions in finite clusters to reduce the finite-size effects (better k-space sampling)

Frota, Oliveira, PRB 33, 7871 (1986)

Oliveira, Oliveira, PRB 49, 11986 (1994)

# DISCRETIZATION SCHEMES

---

1) Conventional scheme

$$\mathcal{E}_j^z = \frac{\int_{I_j} \rho(\epsilon) \epsilon d\epsilon}{\int_{I_j} \rho(\epsilon) d\epsilon}$$

Chen, Jayaprakash, JPCM 7, L491 (1995)

Ingersent, PRB 54, 11936 (1996)

Bulla, Pruschke, Hewson, JPCM 9, 10463 (1997)

$$A_\Lambda = \frac{1}{2} \frac{1 + 1/\Lambda}{1 - 1/\Lambda} \ln \Lambda$$

$$A_\Lambda \approx 1.04 \text{ for } \Lambda = 2$$

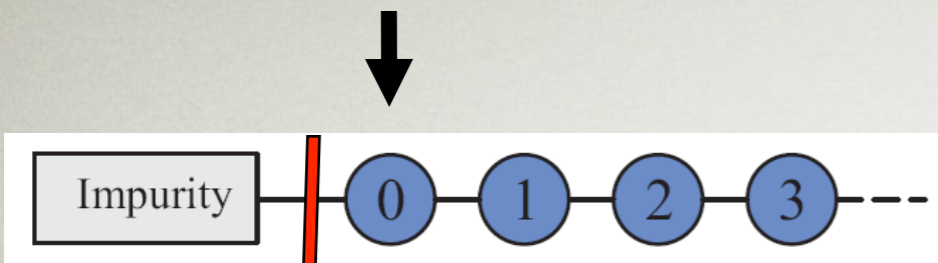
$$A_\Lambda \approx 1.16 \text{ for } \Lambda = 4$$

2) Campo-Oliveira scheme

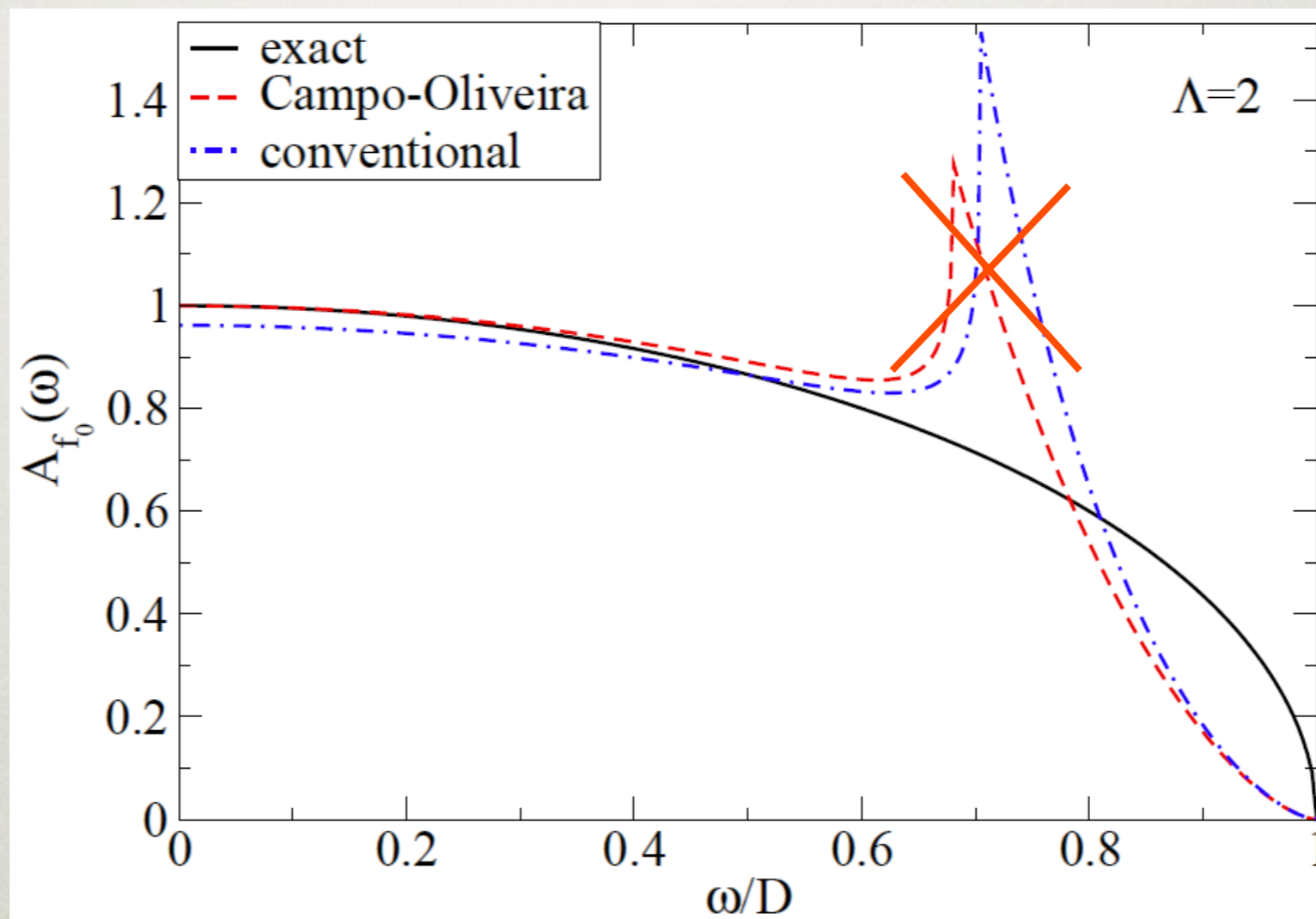
$$\mathcal{E}_j^z = \frac{\int_{I_j} \rho(\epsilon) d\epsilon}{\int_{I_j} \rho(\epsilon) \frac{1}{\epsilon} d\epsilon}$$

Campo, Oliveira, PRB 72, 104432 (2005)

$\mathcal{E}_j^z$  : representative energy  
for interval  $I_j = [\epsilon_{j+1}^z : \epsilon_j^z]$



$$\rho(\omega) = \rho_0 \sqrt{1 - \left(\frac{\omega}{D}\right)^2}$$



# SPECTRAL FUNCTION OF THE FIRST SITE OF THE WILSON CHAIN

---

Flat band:

$$A_{f_0}(\omega) = \frac{\epsilon_j^z - \epsilon_{j+1}^z}{2D |d\mathcal{E}_j^z/dz|} \quad \mathcal{E}_j^z = \omega$$

Campo, Oliveira, PRB 72, 104432 (2005)

Generally:

$$A_{f_0}(\omega) = \frac{\int_{I_j} \rho(\epsilon) d\epsilon}{|d\mathcal{E}_j^z/dz|}$$



Can we do better?

Yes! We **demand**  $A_{f_0}(\omega) = \rho(\omega)$

$$\frac{\int_{I_j} \rho(\epsilon) d\epsilon}{|d\mathcal{E}_j^z/dz|} = \rho(\omega)$$

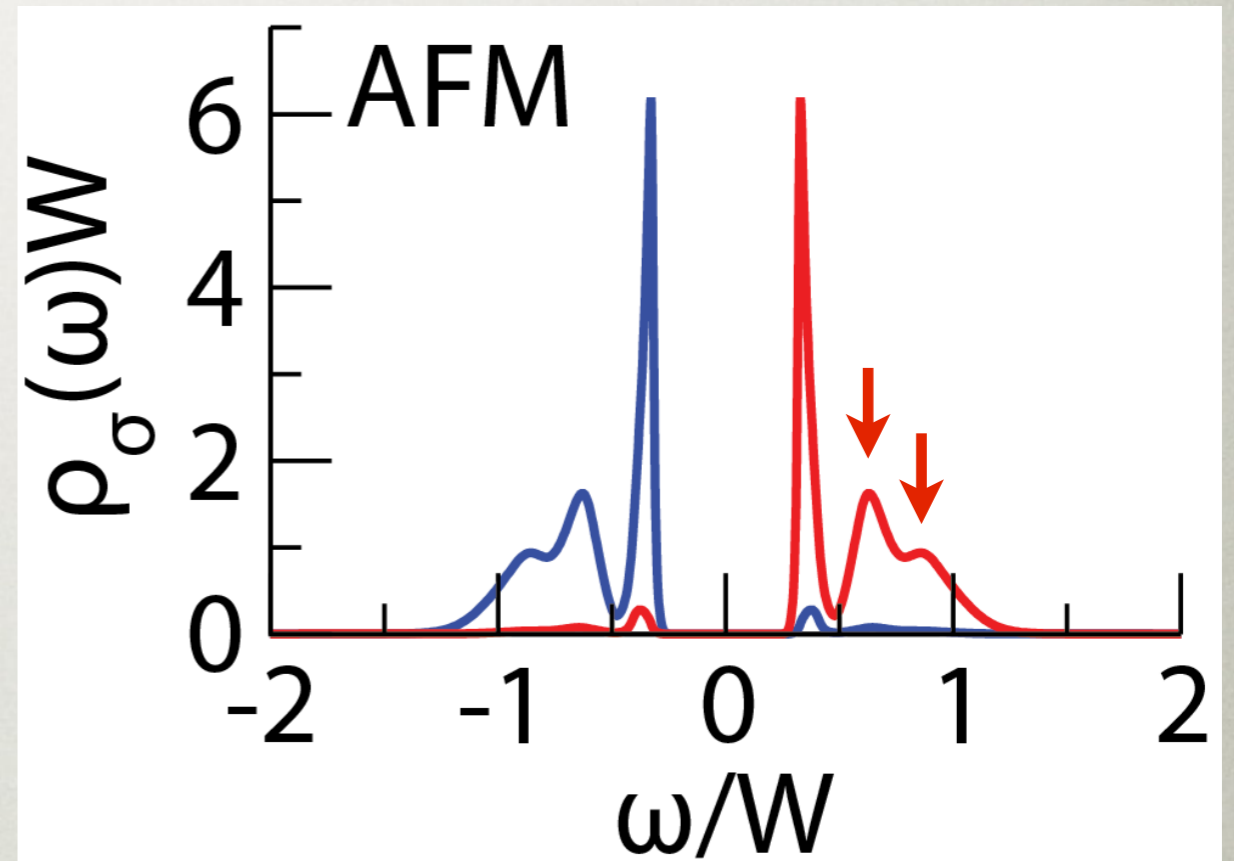
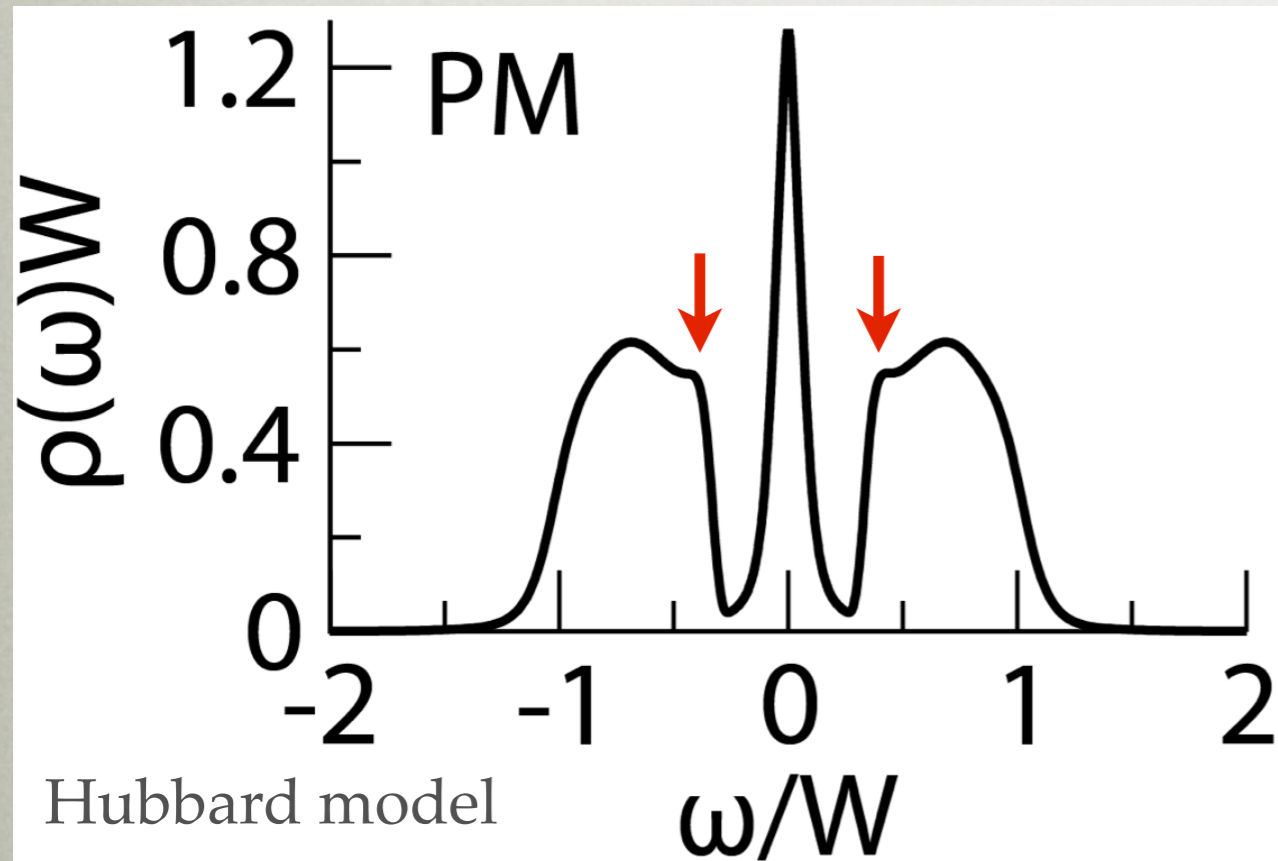
$$x = j + z \quad \mathcal{E}(x) = Df(x)\Lambda^{2-x}$$

$$\frac{df(x)}{dx} = \ln \Lambda f(x) - \frac{\int_{\epsilon(x+1)}^{\epsilon(x)} \rho(\omega) d\omega}{\Lambda^{2-x} \rho[\mathcal{E}(x)]}$$

R. Žitko, Th. Pruschke, PRB 79, 085106 (2009)

R. Žitko, Comput. Phys. Comm. 180, 1271 (2009)

# HIGH-RESOLUTION SPECTRA



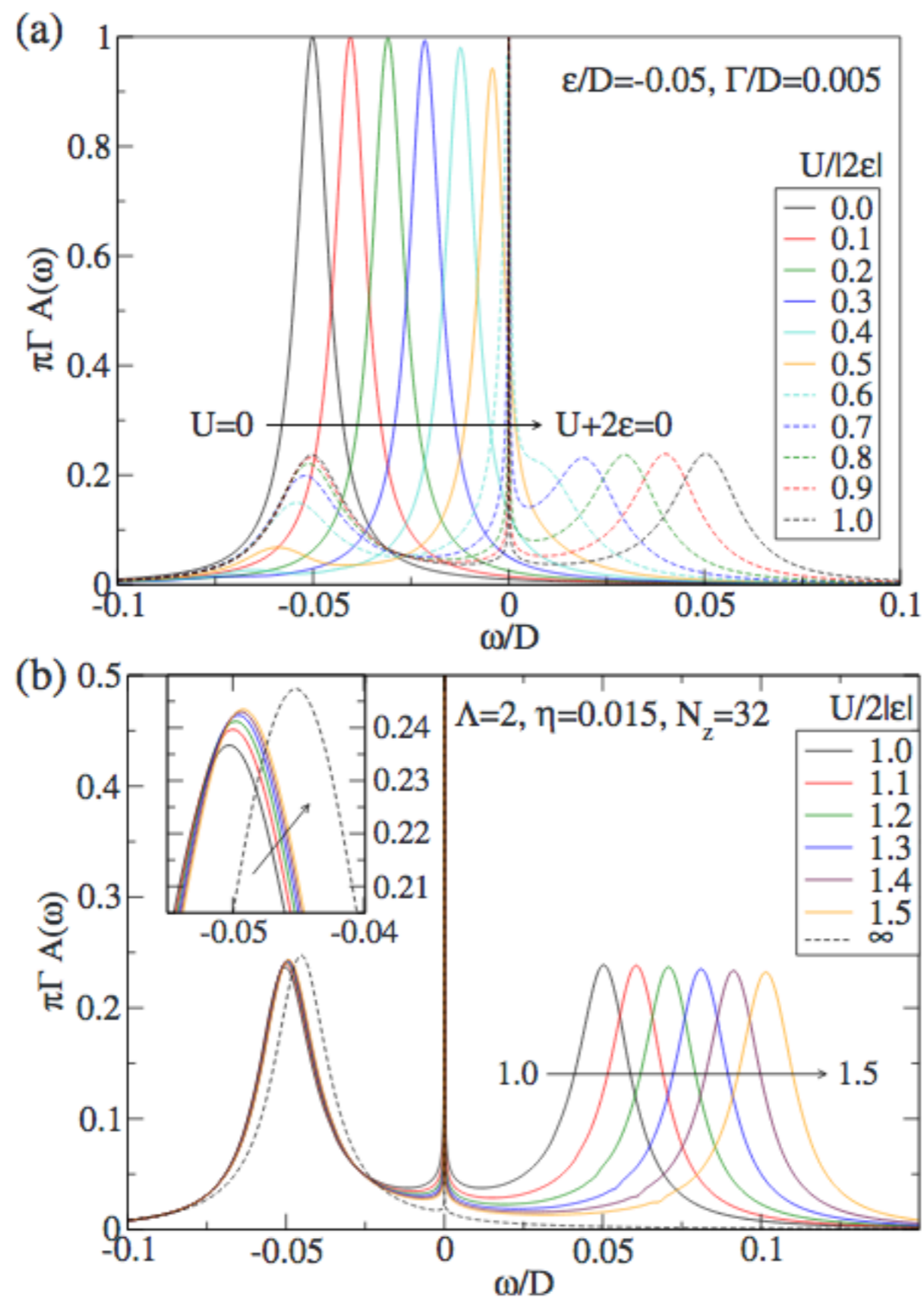


FIG. 14. (Color online) Spectral functions of the Anderson model for increasing  $U$ .

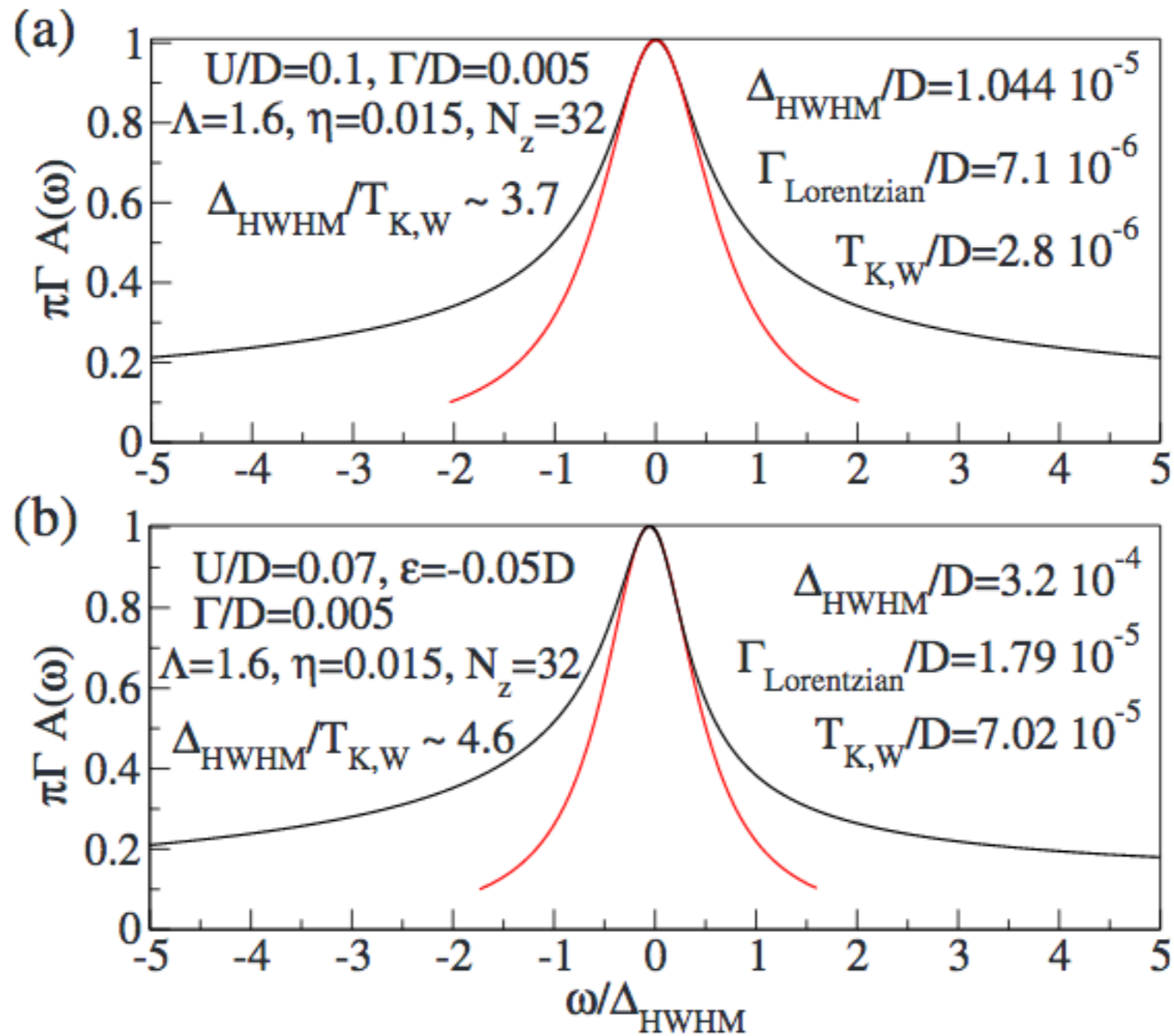
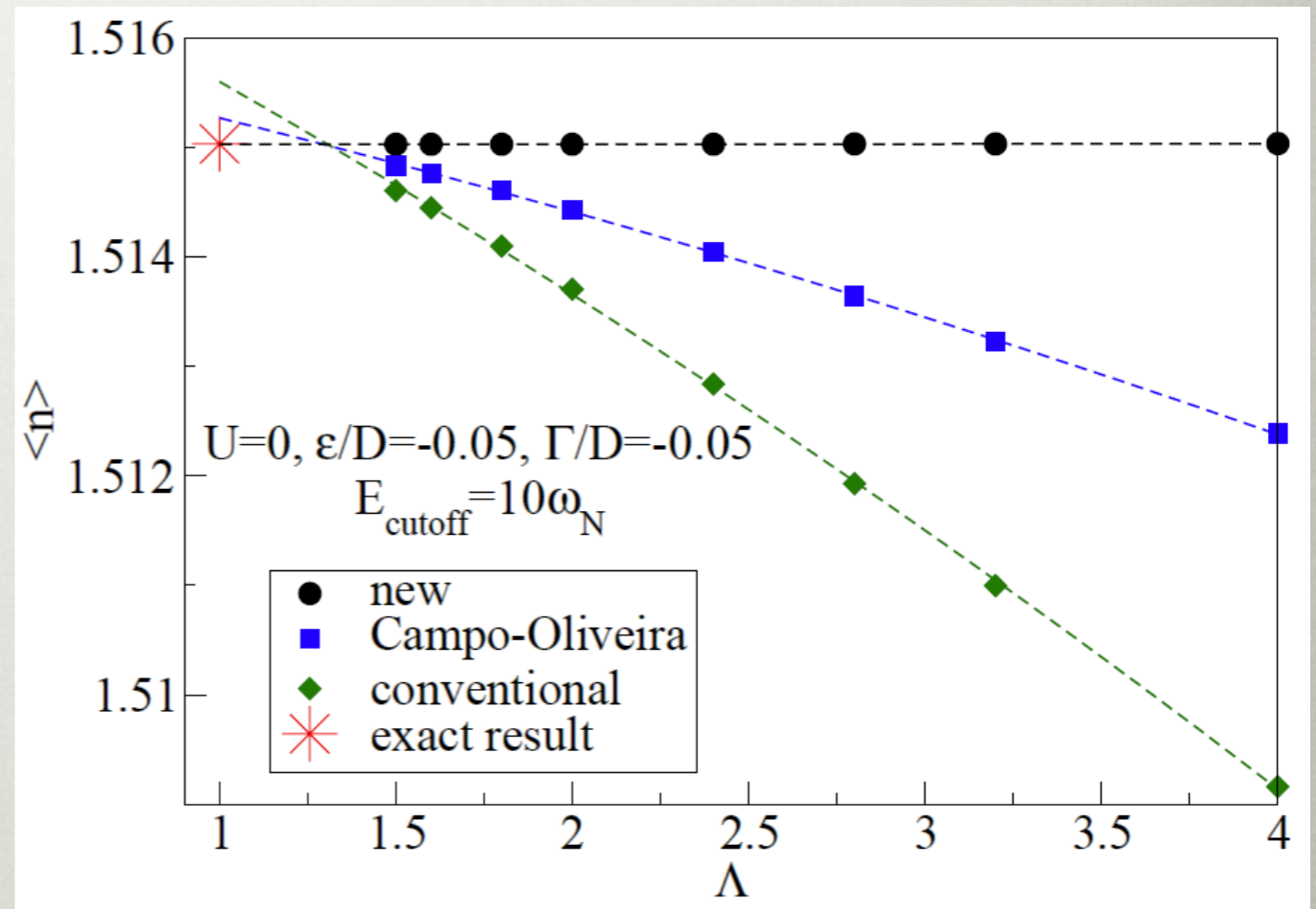
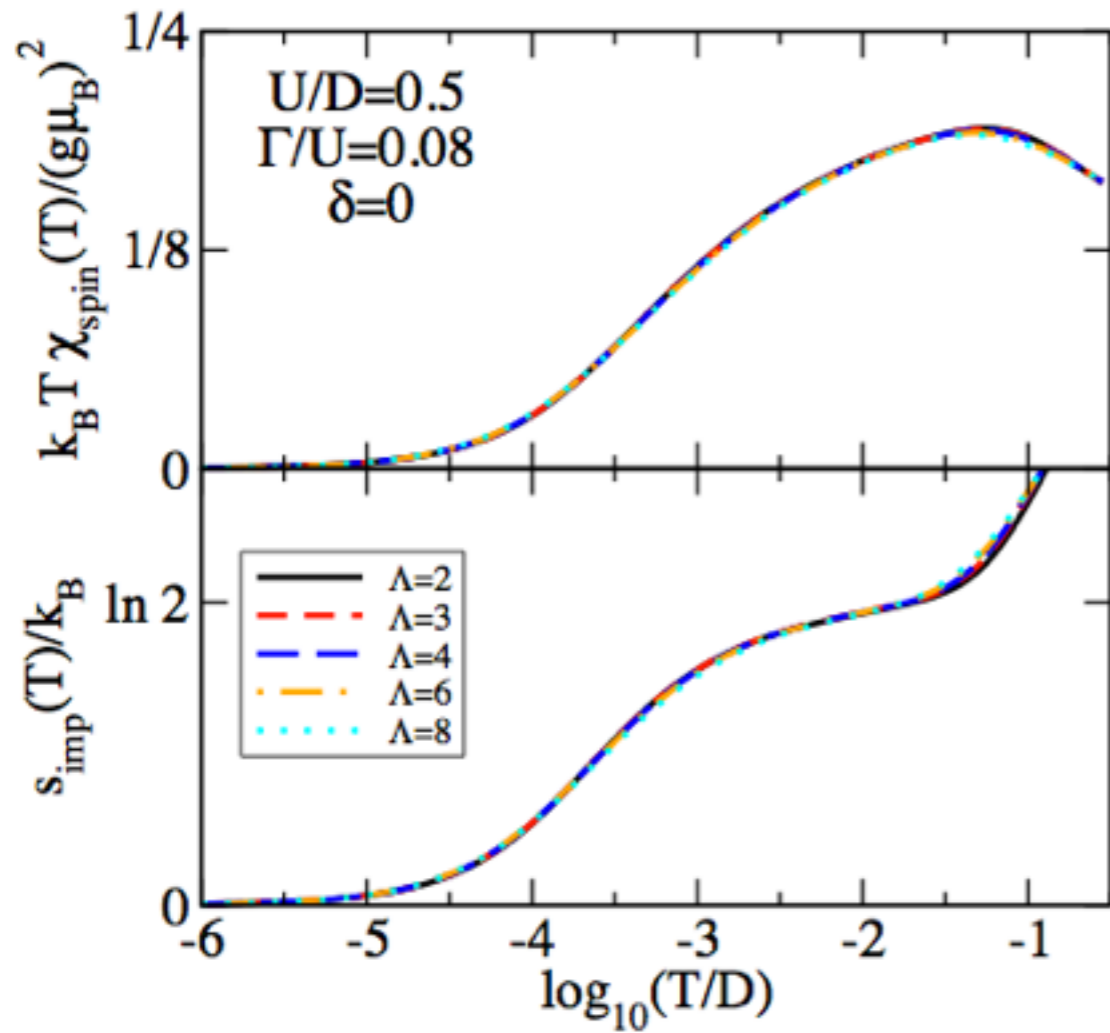


FIG. 15. (Color online) Close-up on the Kondo resonance of (a) symmetric and (b) asymmetric Anderson impurity model and a fit to a Lorentzian (red/gray curve) in the Fermi-liquid regime for  $\omega \ll T_K$ .

# CHOICE OF $\Lambda$



# DENSITY-MATRIX NRG

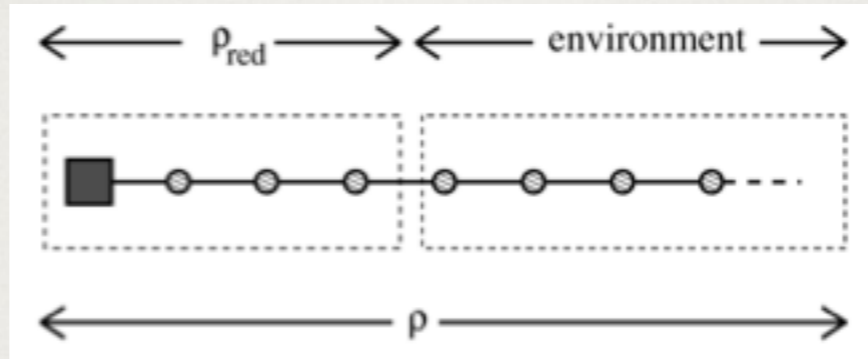
---

Problem: Higher-energy parts of the spectra calculated without knowing the true ground state of the system

Solution:

- 1) Compute the density matrix at the temperature of interest. It contains **full information about the ground state**.
- 2) Evaluate the spectral function in an additional NRG run using the *reduced density matrix* instead of the simple Boltzmann weights.

$$T = 0 \quad \rightarrow \quad \rho = |0\rangle\langle 0|$$



$$\hat{\rho} = \sum_{m_1, m_2, n_1, n_2} \rho_{m_1 n_1 m_2 n_2} |m_1\rangle_{\text{env}} |n_1\rangle_{\text{sys}} \langle n_2| \langle m_2|$$

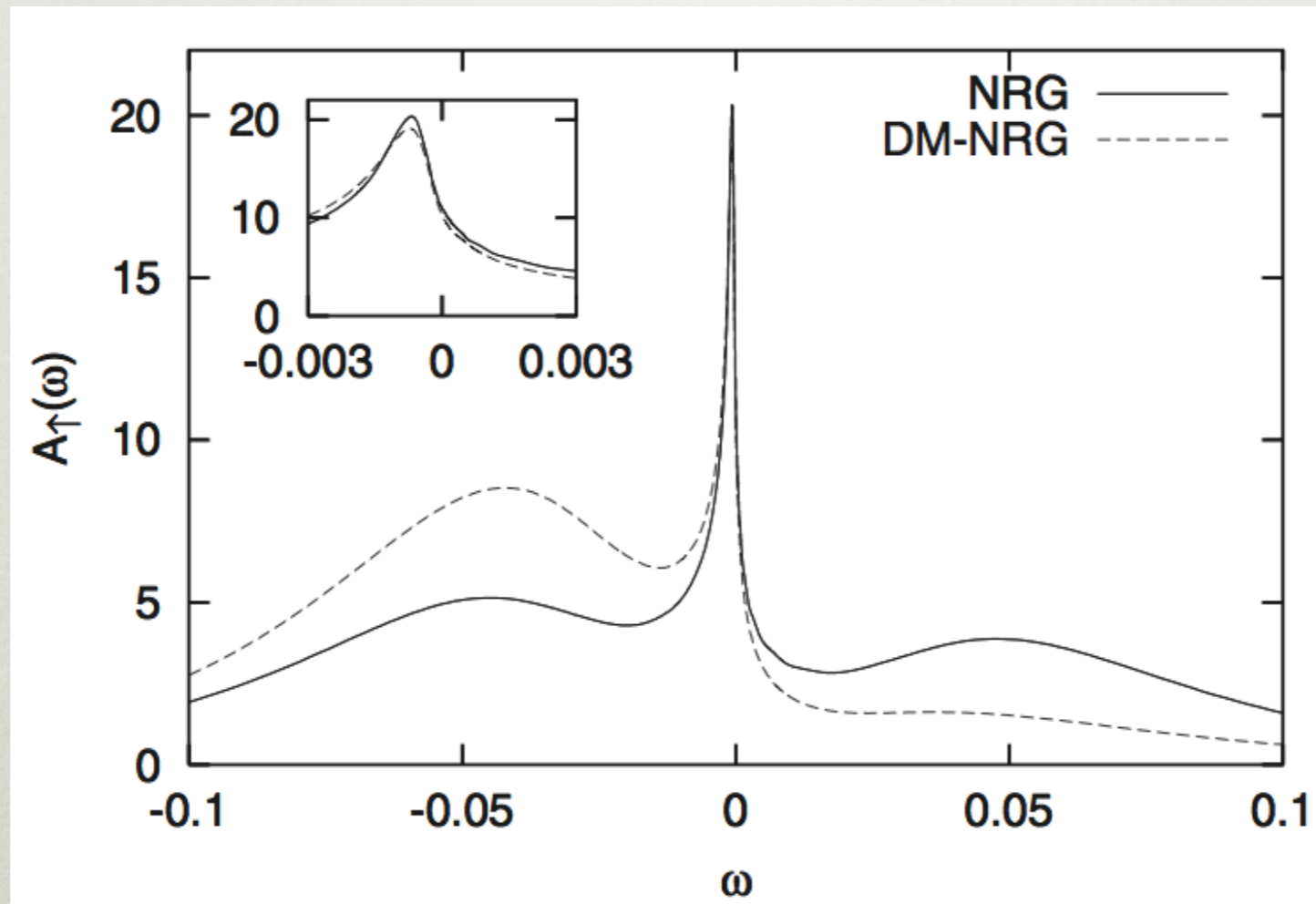
$$\hat{\rho}^{\text{red}} = \sum_{n_1, n_2} \rho_{n_1 n_2}^{\text{red}} |n_1\rangle_{\text{sys}} \langle n_2| \quad \rho_{n_1 n_2}^{\text{red}} = \sum_m \rho_{m n_1, m n_2}$$

W. Hofstetter, PRL 2000

DMNRG for non-Abelian symmetries: Zitko, Bonca, PRB 2006

Spectral function computed as:

$$A_{\mu}^N(\omega) = \sum_{ijm} (\langle j|d_{\mu}^{\dagger}|m\rangle\langle j|d_{\mu}^{\dagger}|i\rangle\rho_{im}^{\text{reduced}} + \langle j|d_{\mu}^{\dagger}|m\rangle\langle i|d_{\mu}^{\dagger}|m\rangle\rho_{ji}^{\text{reduced}}) \delta(\omega - (E_j - E_m))$$





# BIG PROBLEMS

---

- orbital degeneracy: big local Hilbert space, big Hilbert space at each shell of Wilson chains
- multiple quantum dots with multiple conduction leads
- lack of symmetry: diagonalization of big matrices scales as  $N^3$

# RECALCULATION OF OPERATORS

$$\langle QS\omega \parallel \hat{O} \parallel Q'S'\omega' \rangle_{N+1} = \frac{\langle QSS_z\omega \parallel \hat{O}_\mu \parallel Q'S'S'_z\omega' \rangle_{N+1}}{\langle S'S'_z; M\mu \parallel SS_z \rangle}$$

$$\langle QS\omega \parallel \hat{O} \parallel Q'S'\omega' \rangle_{N+1} = \sum_{ii'} C(QS, Q'S', ii') \sum_{rr'} U_{QS}(\omega, ri) U_{Q'S'}(\omega', r'i') \langle F_i(QS)r \parallel \hat{O} \parallel F_{i'}(Q'S')r' \rangle_N$$

Important to be efficiently implemented! We use BLAS routine **GEMM** (general matrix multiply). (GEMM from Intel MKL library has >80% efficiency on Xeon processors.)

Level	Data Movement	Floating-Point Operations	Example
Level 1 BLAS	O(N)	O(N)	DDOT
Level 2 BLAS	O(N <sup>2</sup> )	O(N <sup>2</sup> )	DGEMV
Level 3 BLAS	O(N <sup>2</sup> )	O(N <sup>3</sup> )	DGEMM

# PARALLELIZATION

---

- Make use of multithreaded LAPACK routines, e.g. Intel MKL. Controlled by environment variables `MKL_NUMTHREADS`, `MKL_DYNAMIC`. Diagonalisation does not scale linearly, but the speed-up is substantial.
- Run diagonalisations in parallel using multithreading (OpenMP) on the same compute node, or using message-passing (MPI) across several compute nodes.

Note: nested OpenMP parallelism needs to be enabled using `OMP_NESTED=TRUE`.  
Often difficult to make it work (segmentation faults).

# log

NRG Ljubljana 2.4.3.31 - (c) Rok Zitko <[rok.zitko@ijs.si](mailto:rok.zitko@ijs.si)>

Timestamp: Mon Nov 13 12:55:52 2017

Compiled on Aug 14 2018 at 10:37:37

Compiled-in symmetry types: ANYJ DBLISOSZ DBLSU2 ISO ISO2 ISO2LR  
ISOLR ISOSZ ISOSZLR NONE P PP QJ QS QSC3 QSLR QST QSTZ QSZ QSZLR  
QSZTZ SL SL3 SPSU2 SPSU2C

No MPI: single node calculation.

[OMP] Max. number of threads: 1

[OMP] Number of processors: 32

[OMP] Dynamic thread adjustment: 0

[OMP] Nested parallelism: 0

Using Intel MKL library 11.3.2

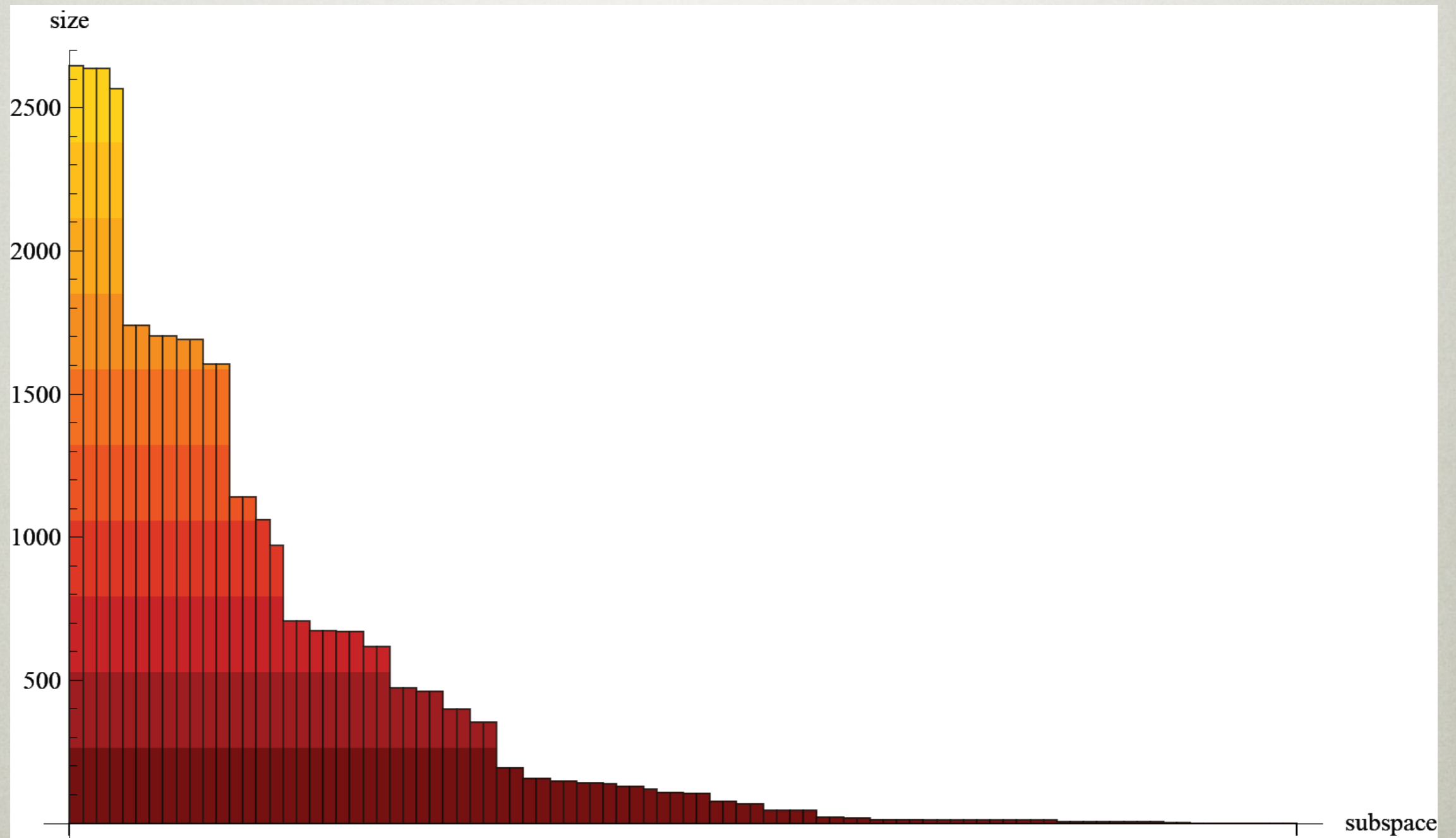
Processor optimization: Intel(R) Advanced Vector Extensions 2  
(Intel(R) AVX2) enabled processors

max\_threads=8 blas\_max\_threads=8 dynamic=0

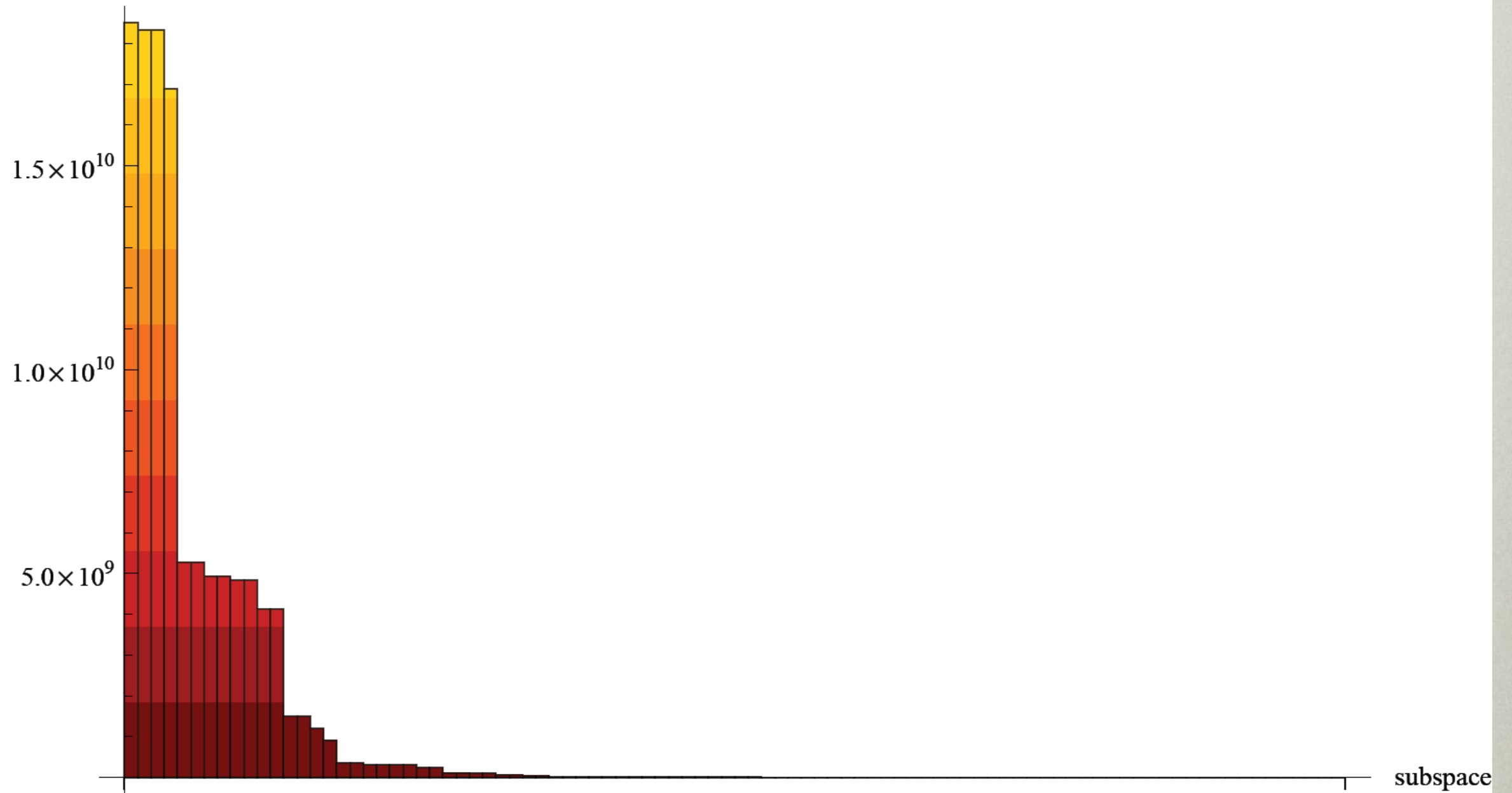
export MKL\_NUM\_THREADS=8

export MKL\_DYNAMIC=FALSE

Matrix dimensions in different invariant subspaces.



diagonalisation time (a.u.)

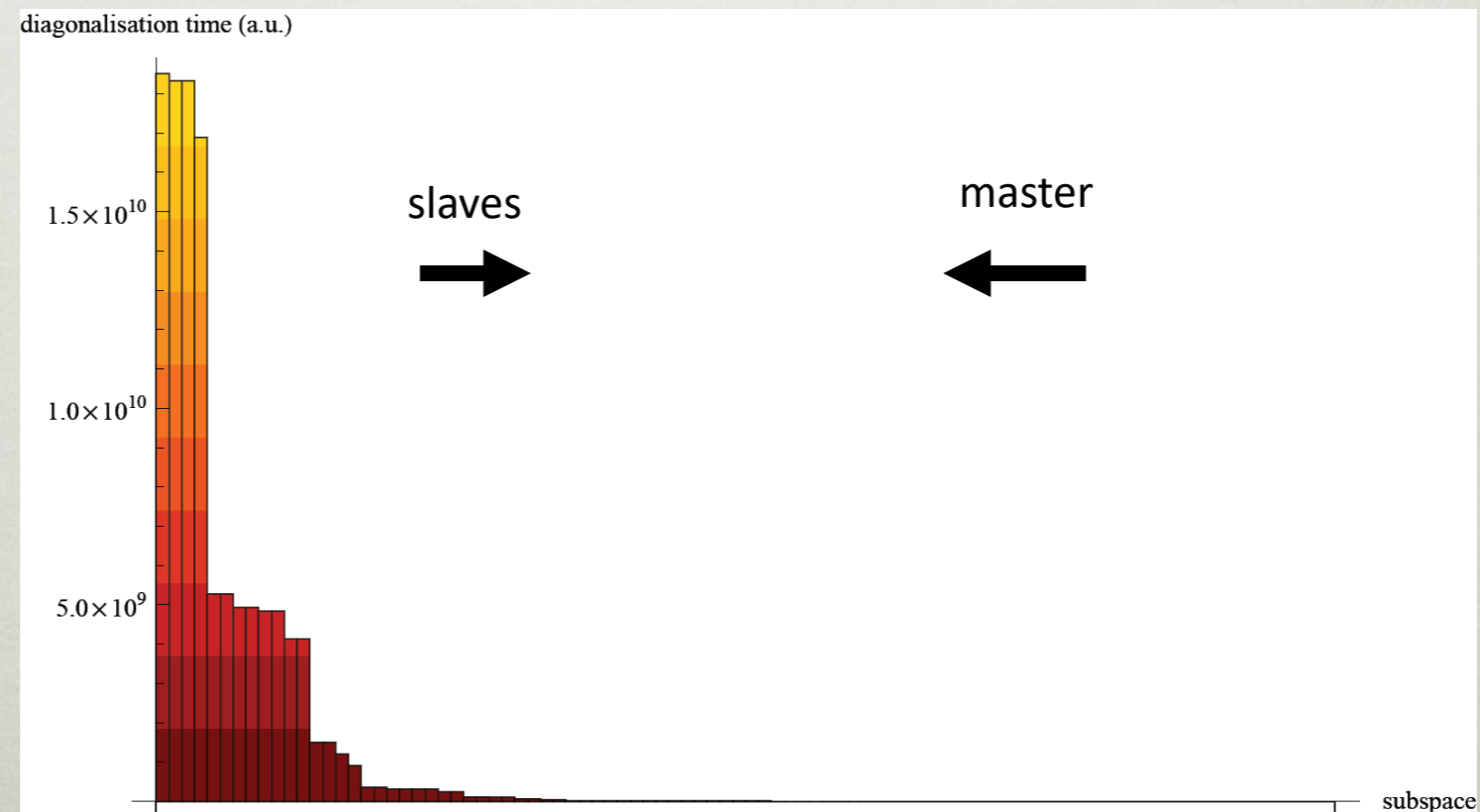


Conclusion: up to ~5-6 simultaneous diagonalisations.

controller agent

## ~~MASTER-SLAVE~~ STRATEGY

1. Master delegates diagonalisations of large matrices to slave nodes.
2. Master diagonalizes small matrices locally.



# REAL VS. COMPLEX NUMERICS

---

Choice depends on the Hamiltonian  
**and** all operators of interest.

Examples:

- magnetic field along the  $y$ -axis
- two superconducting leads with phase difference
- calculations of transverse magnetic susceptibility

Significant (almost an order of magnitude)  
difference in performance!