

Carbon Nanotube Quantum Dot: Realization of Two-Channel Kondo Effect

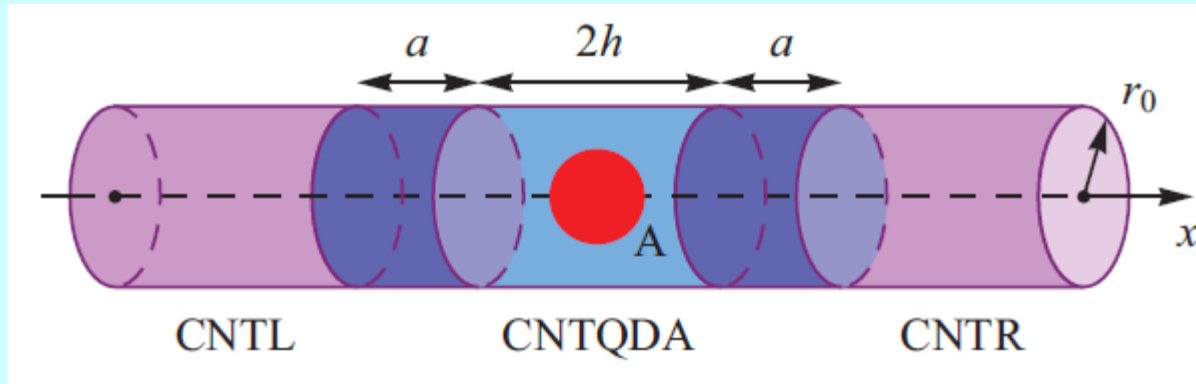


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✓ I.K., Tetyana Kuzmenko, and Yshai Avishai, Phys. Rev. B **90**, 195129 (2014)

Tunneling Junction

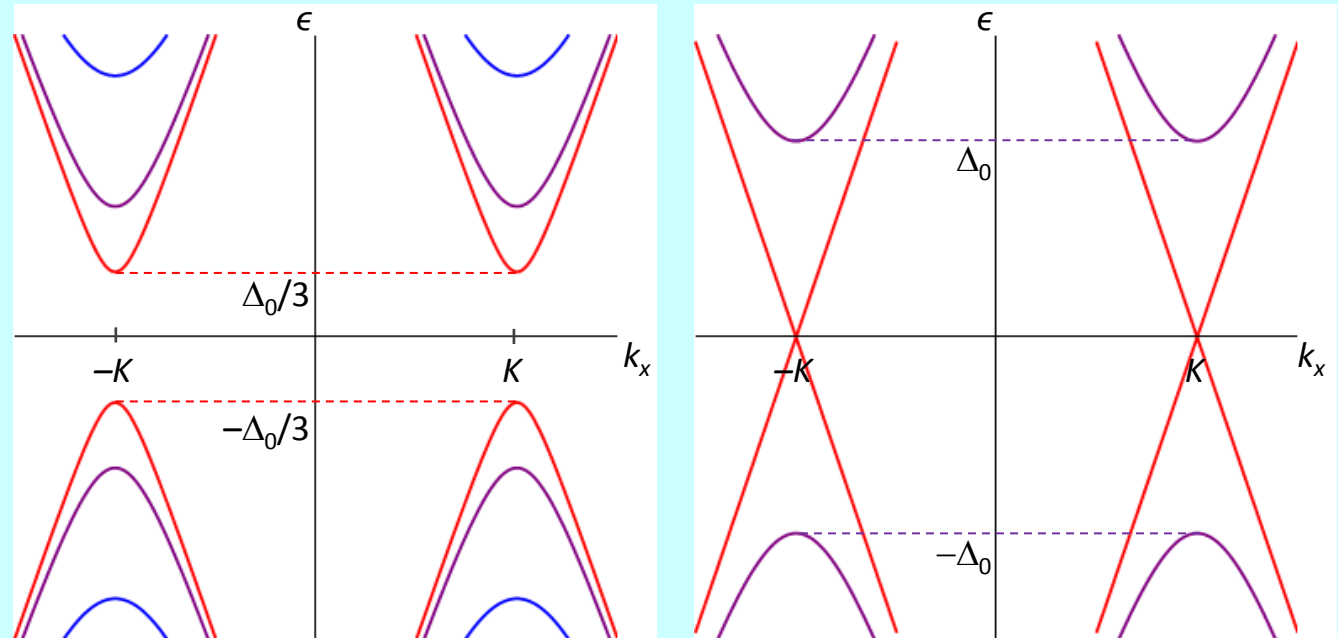
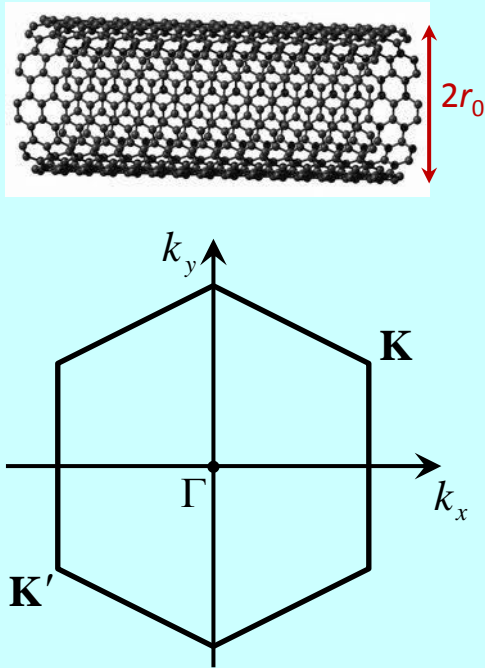


- CNTL and CNTR are left and right metallic leads
- CNTQDA is a carbon nanotube quantum dot of length $2h$ with caged atom
- Two tunnel barriers of length a

Outline

- Electronic properties of Carbon Nanotubes
- Construction of tunnel junction consisting of two metallic leads and a QD with an atom on the CNT axis
- Kondo Hamiltonian
- Two regimes of the poor man's scaling procedure
- Conductance, entropy and specific heat
- Conclusions

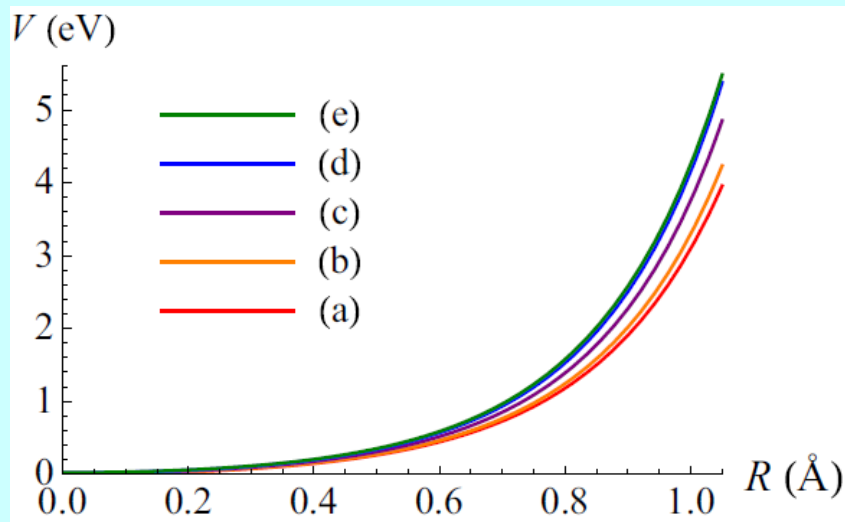
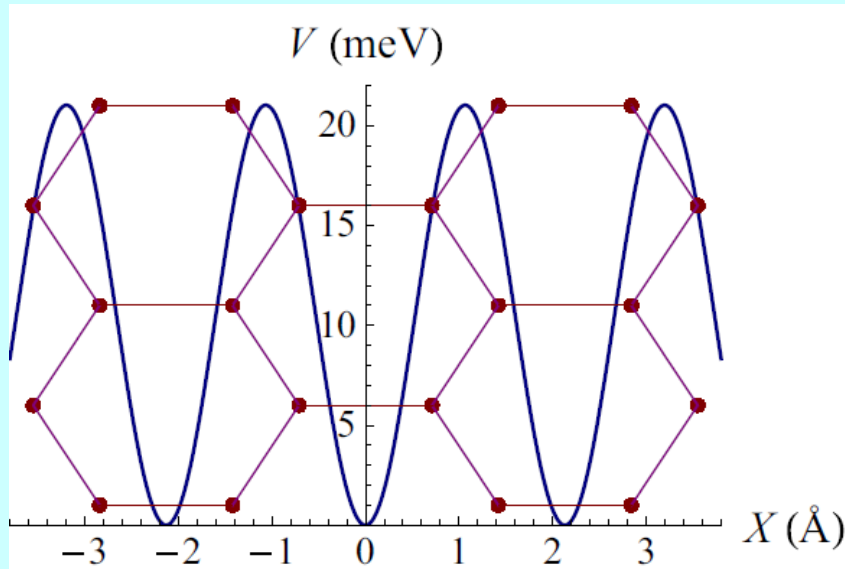
Carbon Nanotubes



- Two valleys near the points K and K' of the first Brillouin zone
- Degeneracy in both spin (\uparrow , \downarrow) and isospin (or valley K , K') quantum numbers
- The energy spectrum of a semiconductor CNT is

$$\epsilon_{mq} = \pm \sqrt{(\hbar v q)^2 + \Delta_0^2 \left(m + \frac{1}{3}\right)^2}, \quad \Delta_0 = \frac{\hbar v}{r_0}$$

Implanting an Atom on the CNT Axis



- Van der Waals interaction between the caged atom and the CNT possesses minima and saddle points at

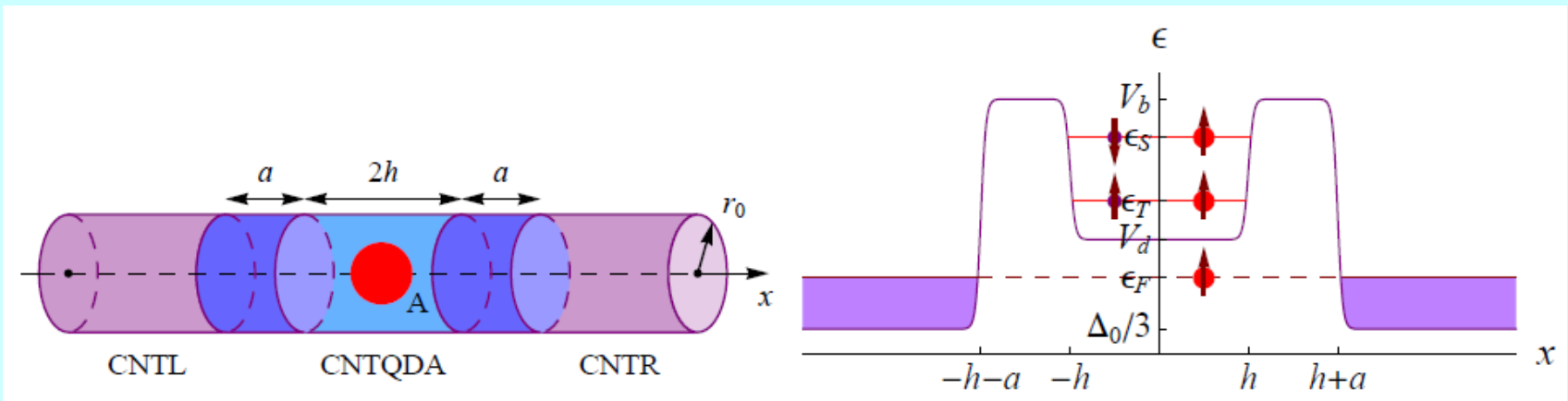
$$X_n^{\min} = 2nX_1,$$

$$X_n^{\text{sad}} = (2n + 1)X_1,$$

$$X_1 = \frac{3a_0}{4\sqrt{3}}.$$

- Neighboring minima are separated by tunnel barriers of height $W_b = 21.02$ meV.
- The caged atom performs small oscillations around the point $\mathbf{R} = (0,0)$.

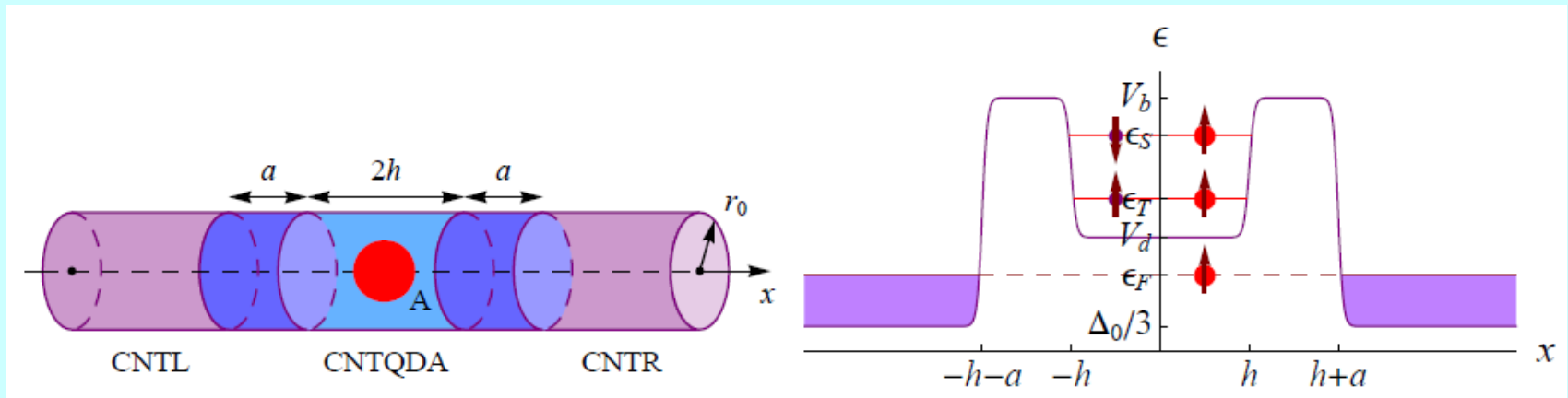
Tunnel Junction



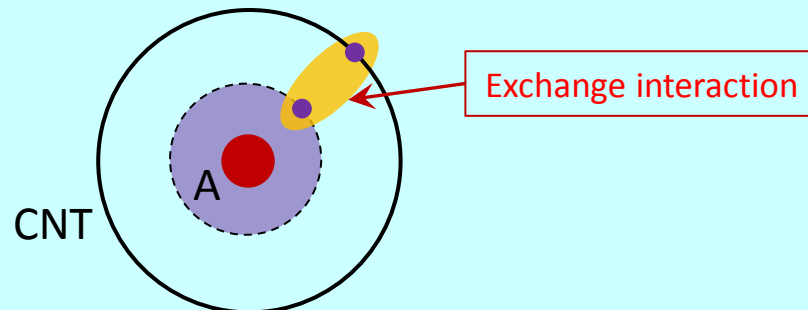
$$\Delta_g(x) = -\frac{\Delta_0}{3} - V_0 \Theta(|x| - h - a) + V_d \Theta(h - |x|) + V_b \Theta(|x| - h) \Theta(h + a - |x|),$$

- Gate voltage is such that $\Delta_0/3 < \epsilon_F < V_d < V_b$.
- The CNT is divided on left and right leads, and the quantum dot separated one from another by the barriers.

Energy Levels of CNT(QD)



- Fermi energy is below the single-electron level.
- The ground state of the QD hosts solely the spin-1/2 caged atom.
- The excited states contain one electron and the caged atom. Together, they form singlet (S) and triplet (T) states.
- Exchange interaction between the atom and electron gives the singlet-triplet splitting with $\epsilon_S > \epsilon_T$



Kondo Hamiltonian

$$H_K = \sum_{\xi qq'} \left(K_{\varepsilon_q \varepsilon_{q'}} n_{\xi, qq'} + J_{\varepsilon_q \varepsilon_{q'}} (\mathbf{S} \cdot \mathbf{s}_{\xi, qq'}) \right),$$

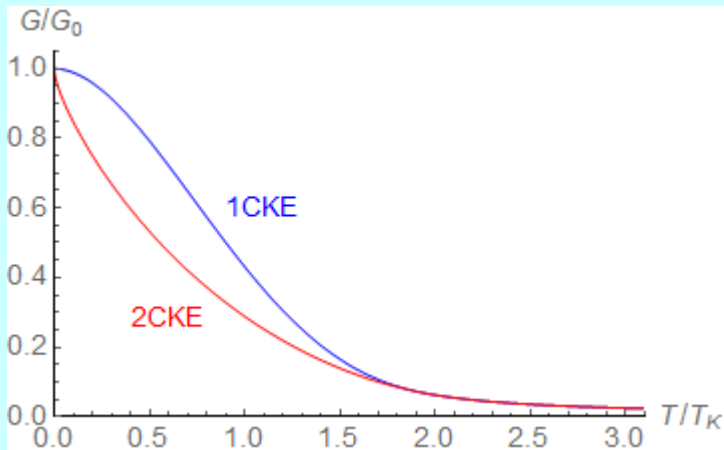
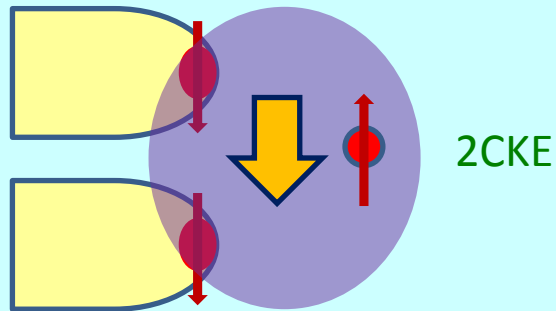
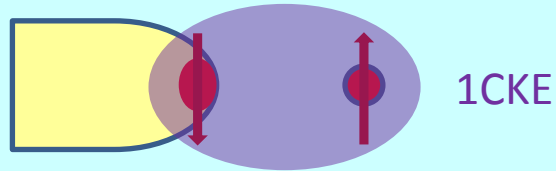
- $n_{\xi, qq'}$ is the electron density operator
- $\mathbf{s}_{\xi, qq'}$ is the spin density operator
- \mathbf{S} is the spin of the quantum dot

$$K_{\varepsilon\varepsilon'} = \frac{3t_\varepsilon t_{\varepsilon'}}{4(\varepsilon_T - \varepsilon_F)} + \frac{t_\varepsilon t_{\varepsilon'}}{4(\varepsilon_S - \varepsilon_F)}, \quad J_{\varepsilon\varepsilon'} = \frac{t_\varepsilon t_{\varepsilon'}}{\varepsilon_T - \varepsilon_F} - \frac{t_\varepsilon t_{\varepsilon'}}{\varepsilon_S - \varepsilon_F},$$

t_ε is a tunneling rate

- $\varepsilon_S > \varepsilon_T \Rightarrow J_{\varepsilon_q \varepsilon_{q'}} > 0 \Rightarrow$ the exchange interaction is antiferromagnetic
- ξ is a good quantum number \Rightarrow **two channel Kondo effect**

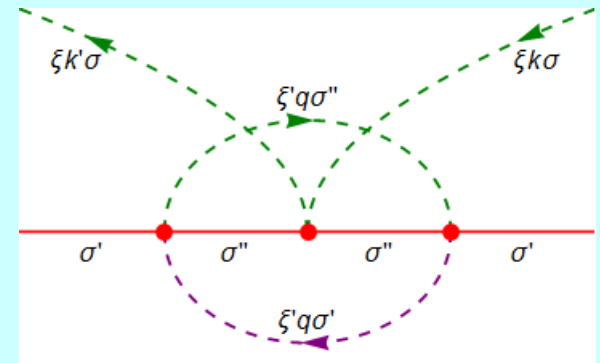
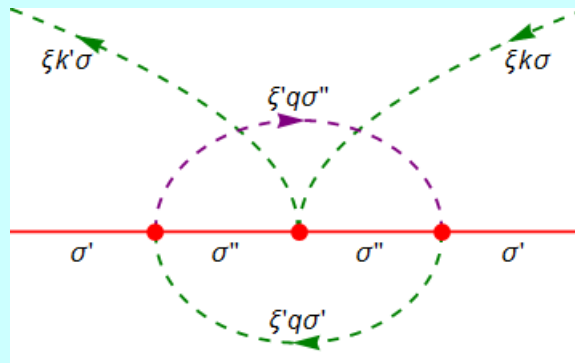
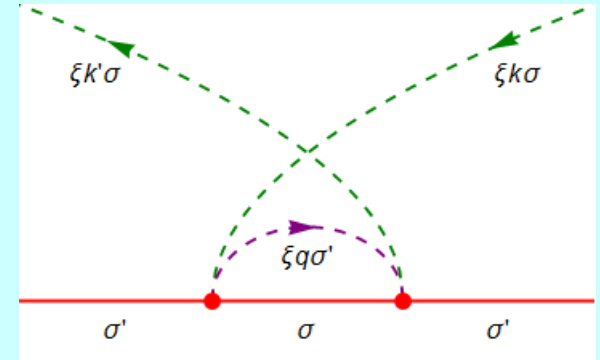
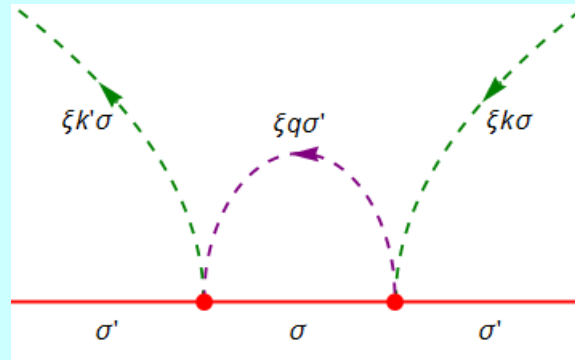
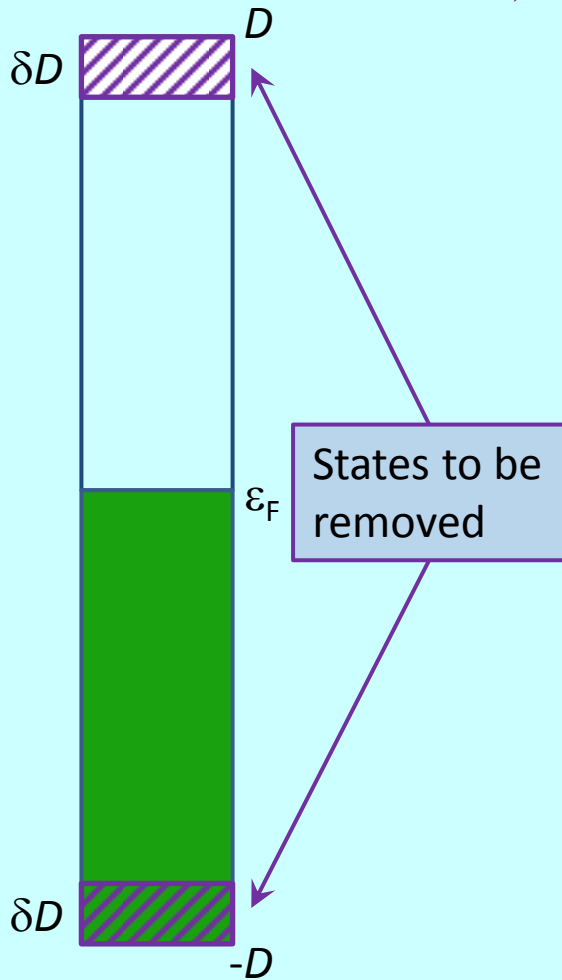
Single-Channel and Two-Channel Kondo Effect



- Single channel Kondo effect: the spin of the dot is fully screened by the conduction band electrons
- Conductance behaves as T^2 – Fermi liquid fixed point
- Two channel Kondo effect: the spin of the dot is over-screened by the conduction band electrons
- Conductance behaves as $T^{1/2}$ – non-Fermi liquid fixed point
- Effect of the two channel Kondo effect is observable just in the strong coupling limit $T < T_K$

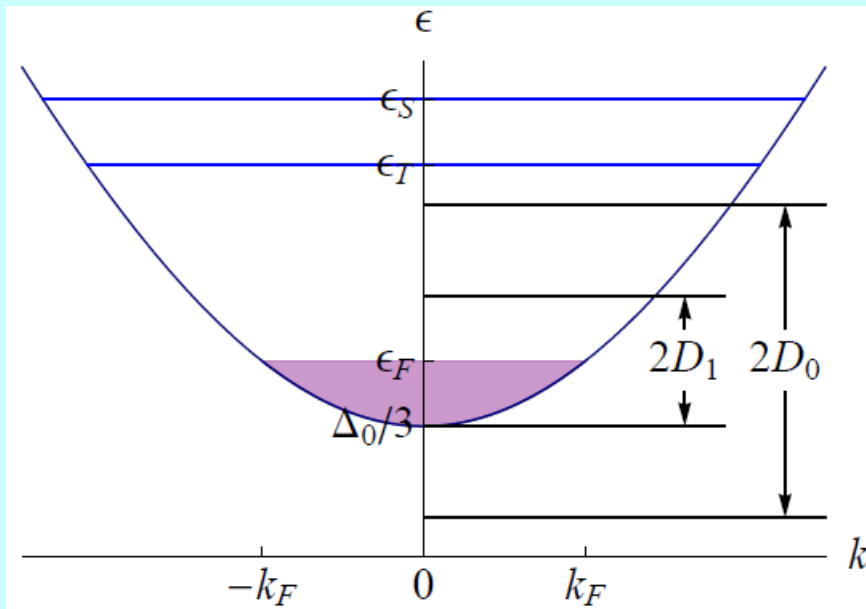
Poor Man's Scaling Technique

- Strong correlation between the QD and the leads – the perturbation theory cannot be applied



$$D \rightarrow D' = D - 2\Delta D \Rightarrow K \rightarrow K', J \rightarrow J'$$

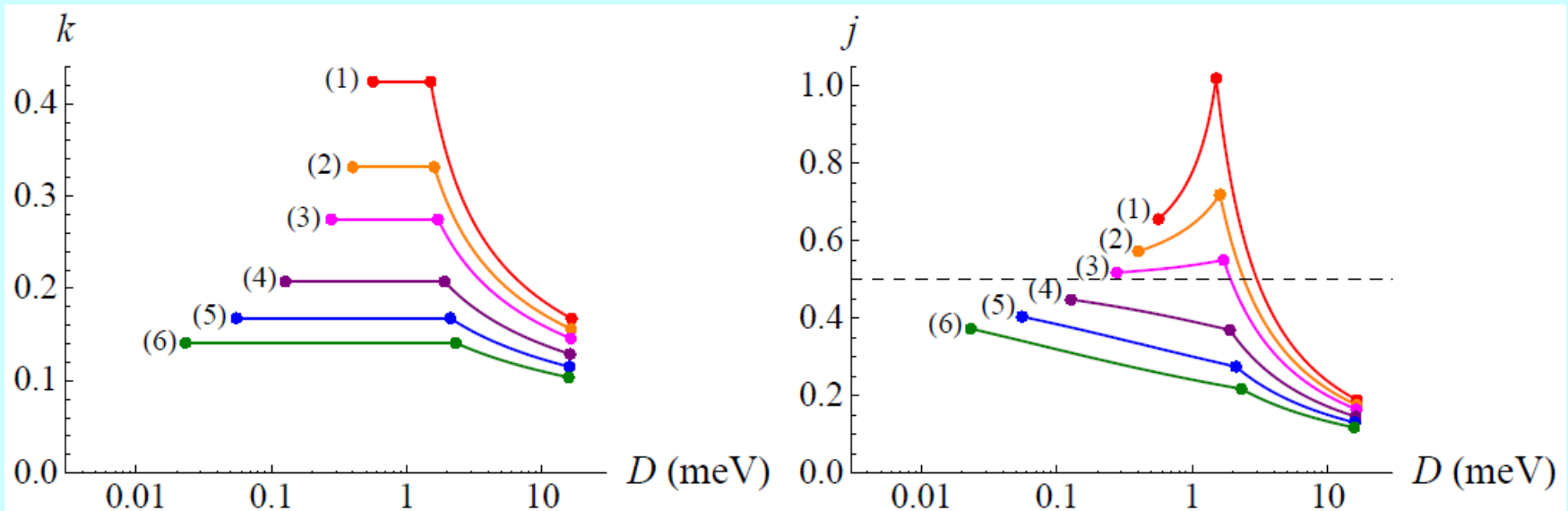
Two Regimes of the Poor Man's Scaling Procedure



$D_0 > \epsilon_F - \Delta_0/3$: There are two different regimes of the poor man's scaling procedure

- $\underline{D_0} > D > \underline{D_1} = \epsilon_F - \Delta_0/3$:
 $\epsilon_F - D$ is below the bottom of the conduction band
- $\underline{D} < \underline{D_1}$:
 $\epsilon_F - D$ is above the bottom of the conduction band

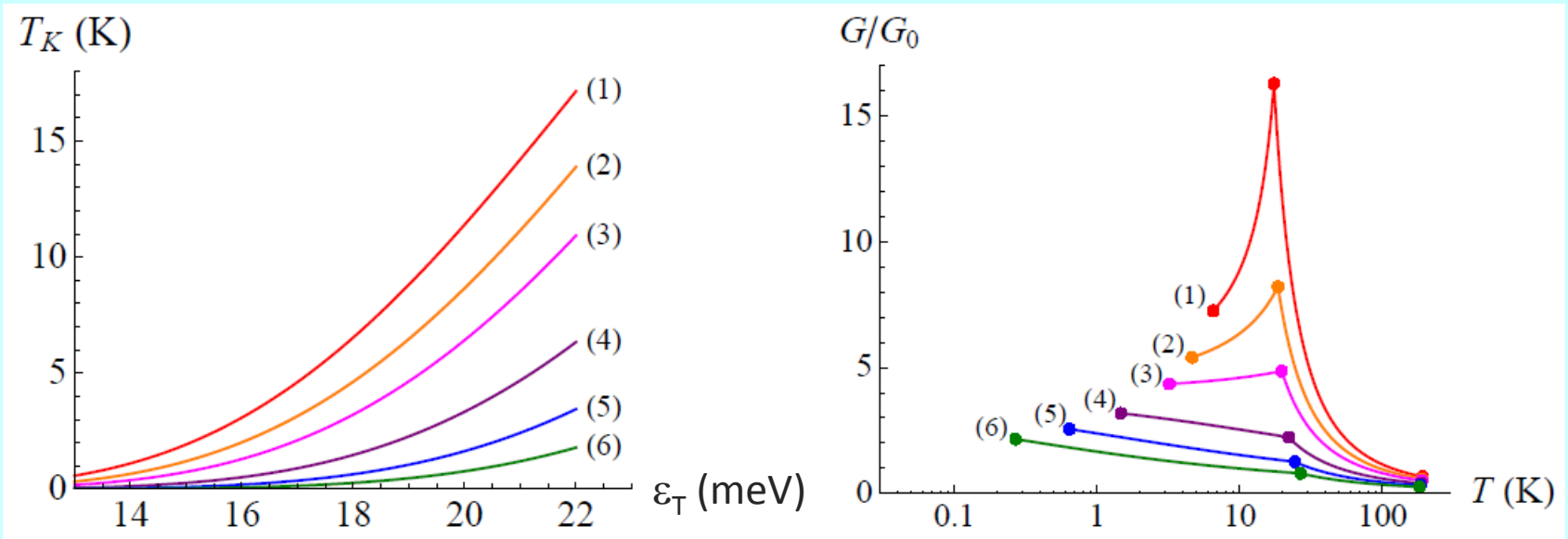
Scaling of Couplings



k and j as functions of D for $\varepsilon_T = 18$ meV and different values of ε_F . Here $\varepsilon_S - \varepsilon_T = 120$ meV, curves (1)–(6) correspond to $\varepsilon_F = 1.5, 1.6, 1.7, 1.9, 2.1,$ and 2.3 meV, respectively.

Note the nonmonotonic behavior of the curves (1), (2) and (3) [$\varepsilon_F \leq 1.7$ meV]:
 When $D > D_1$, the effective coupling $j(D)$ increases to the value over j^* , and then within the interval $D < D_1$, $j(D)$ decreases approaching j^* .

Kondo Temperature and Conductance



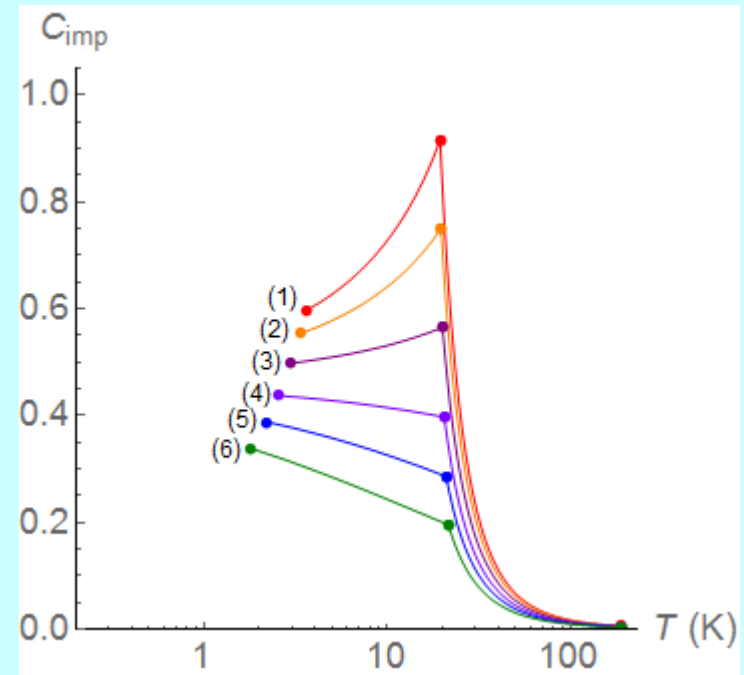
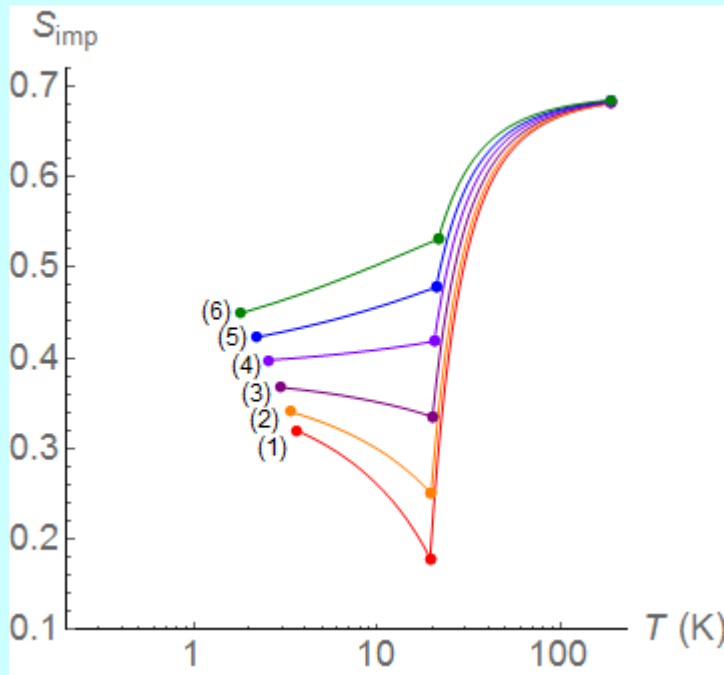
- T_K as a function of ϵ_T and different values of ϵ_F .
- The conductance $G(T)$ as function of T for $\epsilon_T = 18$ meV and different values of ϵ_F .

Here curves (1)–(6) correspond to $\epsilon_F = 1.5, 1.6, 1.7, 1.9, 2.1,$ and 2.3 meV, respectively.

$$G = \frac{\pi^2 G_0}{2} \{k^2(T) + 3j^2(T)\}, \quad G_0 = \frac{e^2}{\pi \hbar}.$$

Note the nonmonotonic behavior of $G(T)$ for $\epsilon_F \leq 1.7$ meV [curves (1)–(3)]. This exotic behavior is caused by the nonmonotonicity of $j(T)$.

Entropy and specific heat



- The entropy $S_{\text{imp}}(T)$ as function of T for $\epsilon_T = 18$ meV and different values of ϵ_F .

Here curves (1)–(6) correspond to $\epsilon_F = 1.5, 1.6, 1.7, 1.9, 2.1,$ and 2.3 meV, respectively.

$$S_{\text{imp}} = \ln 2 - \frac{\pi^2}{4} j^3(T), \quad C_{\text{imp}} = \frac{3\pi^2}{4} j^4(T).$$

Conclusions

- We demonstrate the possibility of tuning a semiconductor CNT into a tunnel junction consisting of two CNT metallic leads and a CNT quantum dot
- Isospin (the valley quantum number) is a good quantum number that results in two channel Kondo effect
- The proposed device reveals two scaling regimes in which the running coupling constant behaves differently
- Nonmonotonic behavior of the conductance, entropy and specific heat as function of temperature enables the physics of the 2CKE to be visible also in the weak coupling regime

Scaling Equations: First Interval

The scaling equations are,

$$\frac{\partial k}{\partial \ln D} = -k^2 - \frac{3j^2}{16}, \quad \frac{\partial j}{\partial \ln D} = -2kj - \frac{j^2}{2},$$

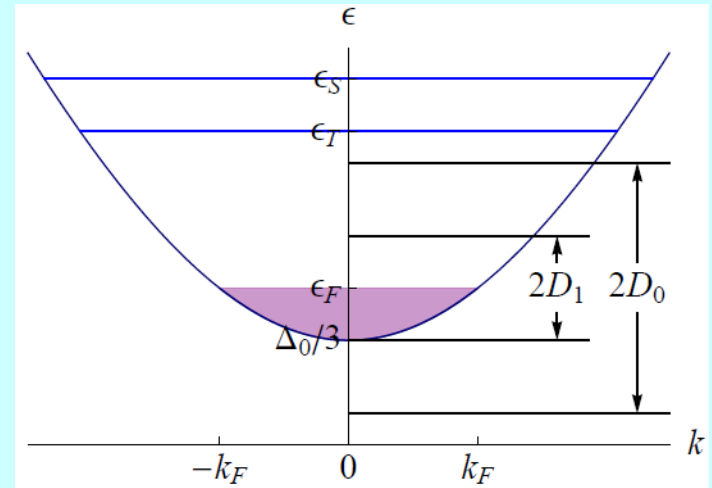
$$D_0 > D > D_1, \quad k(D_0) = k_0, \quad j(D_0) = j_0.$$

Solution of the scaling equations is,

$$k(D) = \frac{1}{4 \ln\left(\frac{D}{T_g}\right)} + \frac{3}{4 \ln\left(\frac{D}{T_f}\right)}, \quad j(D) = \frac{1}{\ln\left(\frac{D}{T_g}\right)} + \frac{1}{\ln\left(\frac{D}{T_f}\right)},$$

where

$$T_g = D_0 \exp\left(-\frac{4}{4k_0 + 3j_0}\right), \quad T_f = D_0 \exp\left(-\frac{4}{4k_0 - j_0}\right).$$



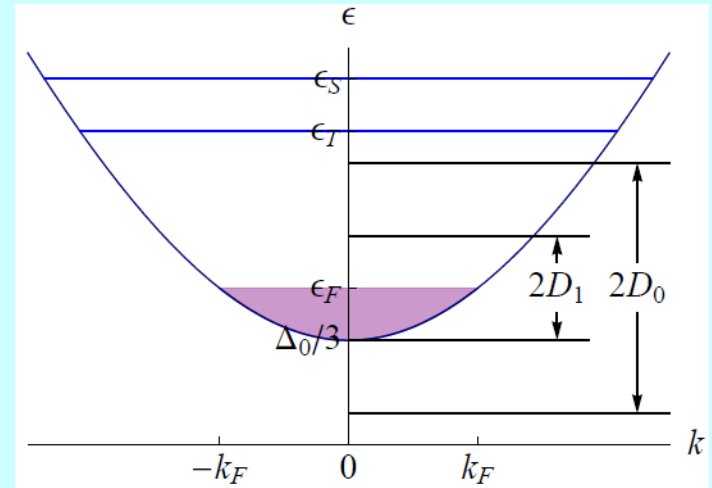
Scaling Equations: Second Interval

The scaling equation is,

$$\frac{\partial j}{\partial \ln D} = -j^2 + 2j^3, \quad D < D_1, \quad j(D_1) = j_1.$$

Solution of the scaling equation is,

$$\frac{1}{j_1} - \frac{1}{j} + 2 \ln \left(\frac{j(1-2j_1)}{j_1(1-2j)} \right) = \ln \left(\frac{D_1}{D} \right).$$



The scaling invariants are

$$T_K = D_1 \exp\left(-\frac{1}{j_1}\right), \quad T^* = D_1 \exp\left(-\frac{1}{j^*}\right), \quad j^* = \frac{1}{2}.$$